NEC4 Engineering and Construction Contract Volume 1: Contract Data

Contract Data

PART ONE – DATA PROVIDED BY THE CLIENT

Name

1 General

The *conditions of contract* are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Engineering and Construction Contract June 2017 (with amendments October 2020).

| , | | | | | |
|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|---------|--|--|
| Main Option | A Option for r | esolving and avoiding disputes | W2 | | |
| Secondary Options | X5, X7, X15, X16, X | X5, X7, X15, X16, X18, Y(UK)2 ,Z Clauses | | | |
| | | | | | |
| The works are | Alliance House Flood Wall - Installation of approximately 20m of new demountable defences | | | | |
| | River Alde Quay - In demountable defenc | stallation of approximately 120m of res | new | | |
| | Henry Moore Lawn - Improvement to approximately 80metres of earth flood embankment to be constructed in a passive fashion to provide improved flood protection and amenity and access value | | | | |
| | River Terrace & Car park - Improvement to approximately 100metres of earth flood embankment, incorporating pedestrian access provision | | | | |
| | | | | | |
| The <i>Client</i> is | | | | | |
| Name | | East Suffolk Water Management I | 3oard | | |
| Address for comr | munications | Pierpoint House, 28 Horsley's Fields, Kings Lynn, Norfolk, PE30 5DD | | | |
| Address for elect | ronic communications | Pete.Roberts@wlma.org.uk | | | |
| The <i>Project Manager</i> is | ; | | | | |
| Name | | Malcolm Fillingham, for and on be Stantec UK Limited | half of | | |
| Address for comr | munications | Stantec UK Limited, 50/60 Station Road Cambridge CB1 2JH | | | |
| Address for elect | ronic communications | malcolm.fillingham@stantec.com | | | |
| The Supervisor | | | | | |

Stantec

Address for communications

Stantec UK Limited, 50/60 Station Road Cambridge CB1 2JH

Address for electronic communications Haider.ali@stantec.com

| | The Scope is in | Volume 2 | : Scope | |
|----------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| | | | | |
| | The Site Information is in | Volume 3 | S: Site Information | |
| | | | | |
| | The boundaries of the site are | | Volume 2: As shown on drawings 65208756-SWE-XX-XX-D-C-1060-P0 65208756-SWE-XX-XX-D-C-1061-P0 65208756-SWE-XX-XX-D-C-1062-P0 65208756-SWE-XX-XX-D-C-1063-P0 65208756-SWE-XX-XX-D-C-1065-P0 732_A_CWP_DRW_02_002_P5 |)2)2)3 |
| | | | - " - " u o | |
| | The language of the contract is | | English (UK) | |
| | The law of the contract is the law of | | The law of England and Wales, subje the jurisdiction of the Courts of England Wales | |
| | The period for reply is | | 2 weeks | |
| | The following matters will be incli | uded in the | Early Warning Register | |
| | Buried services, including public, hig Flood events and high flow condition Public safety and trespassing into th Complaints from affected users of the Access constraints and working area Delay to the contract award affectin | ns e works area ie site (Lando s | wner/Staff and guests) | |
| | Early warning meetings are to be | oe held at ir | ntervals no longer than 1 month | |
| | | | | |
| 2 The Contractor's ma | ain responsibilities | | | |
| f the <i>Client</i> has identified work which is set to meet a | The key dates and conditions to | be met are | | |
| tated <i>condition</i> by a <i>key</i> | condition to be met key date | | | |
| | (1) N/A | | N/A | |

If the Client has identified work which is set to meet a stated condition by a key

date

01st September 2025 The starting date is The access dates are part of the Site date (1) West compound (65208756-SWE-XX-XX-D-C-01st September 2025 1060-P02) 06th October 2025 Henry Moore Lawn (2)Terrace and Car Park 02nd January 2026 (3)River Alde Quay 02nd January 2026 (4) 02nd January 2026 (5)Alliance House The Contractor submits revised programmes at intervals no longer than Monthly If the Client has decided 26th June 2026 The completion date for the whole of the works the completion date for the whole of the works Taking over the works The Client is not willing to take over the works before the before the Completion Date Completion Date. If no programme is The period after the Contract Date within which the Contractor identified in part two of is to submit a first programme for acceptance is 2 weeks the Contract Data 4 Quality management The period after the Contract Date within which the Contractor is to submit a quality policy statement and quality plan is 4 weeks The period between Completion of the whole of the works and the defects date is 52 weeks The defect correction period is 4 weeks 5 Payment Pound sterling The currency of the contract is the

| | The assessment interval is | Monthly | | |
|-----------------------|-----------------------------------------------------|------------------|-----------------------------|-------|
| | The interest rate is 2.5 | % per annum (| (not less than 2) above the | |
| | base | rate of the Ba | ank of England | bank |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| 6 Compensation events | 3 | | | |
| | The place where weather is to be re | corded is | Charsfield | |
| ٦ | The weather measurements to be rec | orded for each c | alendar month are | |
| • | the cumulative rainfall (mm) | | | |
| • | the number of days with rainfall m | ore than 5 mm | | |
| • | the number of days with minimum | air temperature | less than 0 degrees Celsius | |
| | the number of days with snow ly | ving at 09: 00 | hours GMT | |
| ā | and these measurements: | | | |
| | Wind compensation event values: | Wind to be meas | sured on crane anemometer | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | The weather measurements are sup | plied by The | MET Office | |
| - | The <i>weather data</i> are the records of p | | | nonth |
| | which were recorded at | | rsfield | |
| | | | | |

The MET Office

and which are available from

| | The value engineering percentage percentage is stated here, in whi | | 50 % |
|-----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|
| | | | |
| | | | |
| | | | |
| f there are additional compensation events | These are additional compensation | on events | |
| | measured at Snape Brid 2. Additional asbestos ide 3. A wind speed measurer causing a delay to lifting | ne total cumulative 48 hours | in the Site Information e boom greater than 10m/s vent shall only be entitled for |
| 8 Liabilities and insurar | nce | | |
| there are additional | These are additional Client's liabilition | es | |
| | (1) None | | |
| | (2) | | |
| | | | |
| | (3) | | |
| | The minimum amount of cover for the works, Plant and Materials and person (not an employee of the Co | d Equipment) and liability for | bodily injury to or death of a |
| | Providing the Works for any one e | event is | £10,000,000 |
| | The minimum amount of cover for of the <i>Contractor</i> arising out of and contract for any one event is | _ | |
| If the <i>Client</i> is to provide Plant and Materials | The insurance against loss of or cover for Plant and Materials prov | | |
| If the <i>Client</i> is to provide any of the insurances stated | The <i>Client</i> provides these insura | ances from the Insurance Tal | ble |
| in the Insurance Table | (1) Insurance against | | |
| | Minimum amount of cover is | | |
| | The deductibles are | | |
| | (2) Insurance against | | |

| | Minimum amount of cover is | |
|---------------------------------------------|------------------------------------|---------------------|
| | The deductibles are | |
| | (3) Insurance against | |
| | Minimum amount of cover is | |
| | The deductibles are | |
| | | |
| If additional insurances are to be provided | The Client provides these addition | nal insurances |
| | (1) Insurance against | |
| | | |
| | Minimum amount of cover is | |
| | The deductibles are | |
| | (2) Insurance against | |
| | Minimum amount of cover is | |
| | The deductibles are | |
| | (3) Insurance against | |
| | Minimum amount of cover is | |
| | The deductibles are | |
| | The Contractor provides these add | litional insurances |
| | (1) Insurance against | |
| | Minimum amount of cover is | |
| | The deductibles are | |
| | (2) Insurance against | |
| | Minimum amount of cover is | |
| | The deductibles are | |
| | (3) Insurance against | |
| | Minimum amount of cover is | |
| | The deductibles are | |

| Resolving and avoidin | g disputes | | | |
|--------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|----------------------------------------------------------------------------------------------------------|--|
| | The tribunal is | Arbitration | | |
| | | | | |
| If the tribunal is arbitration | Arbitration Pro | | rsion of the Institution of Civil Engineers rocedure or any amendment to it in force oitrator appointed. | |
| | The other contract of the Con- | | | |
| | The place where arbitration is to be held is | Kings Lynn, I | Norfolk. | |
| | The person or organisation who will choose an arbitrator if the Parties cannot agree a choice or if the arbitration procedure does not state who selects an arbitrator is | | | |
| | Institution of Civil Engineers | } | | |
| f Option W1 or W2 is used | The Senior Representatives of | the <i>Client</i> are | | |
| | Name (1) | | Phil Camamile | |
| | Address for communication | ons | Pierpoint House, 28 Horsley's Fields, Kings Lynn, Norfolk, PE30 5DD | |
| | Address for electronic con | nmunications | Phil.camamile@wlma.org.uk | |
| | The <i>Adjudicator</i> is | | | |
| | Name | | To be agreed | |
| | Address for communication | ons | | |
| | Address for electronic con | nmunications | | |
| | The Adjudicator nominating b | oody is | Institution of Civil Engineers | |

X5: Sectional Completion

If Option X5 is used

The completion date for each section of the works is

section description completion date

(1) Henry Moore Lawn 01st May 2026

(2) Terrace and Car Park 29th May 2026

(3) River Alde Quay 29th May 2026

(4) Alliance House 13th March 2026

(5) Demobilisation from west compound

X7: Delay damages

If Option X7 is used without Option X5

Delay damages for Completion of the whole of the works

£1000

26th June 2026

per day

If Option X7 is used with Option X5

Delay damages for each section of the works are

section description amount per day

(1) Henry Moore Lawn £1000

(2) Terrace and Car Park £1000

(3) River Alde Quay £1000

(4) Alliance House £1000

(5) Demobilisation from west compound £1000

The delay damages for the remainder of the works are

X15: The Contractor's design

If Option X15 is used

The *period for retention* following Completion of the whole of the *works* or earlier termination is

52 weeks

The minimum amount of insurance cover for claims made against the *Contractor* arising out of its failure to use the skill and care normally used by professionals designing works similar to the *works* is, in respect of each claim

£1,000,000

| The period following Completion of the whole of the works or earlier termination for which |
|---------------------------------------------------------------------------------------------|
| the Contractor maintains insurance for claims made against it arising out of its failure to |
| use the skill and care is |

| X16- | Retention | (not | used | with | Option | F) |
|---------------------|-----------------|-------|------|--------|--------|-----|
| Λ 1 0 . | 1 CLC I LI OI I | llivi | uscu | VVICII | OBUUII | . , |

The retention free amount is If Option X16 is used

Nil

The retention percentage is

Retention bond

The Contractor may not give the Client a retention bond.

5

X18: Limitation of liability

If Option X18 is used

The Contractor's liability to the Client for indirect or consequential loss is limited to

£1,000,000

For any one event, the Contractor's liability to the Client for loss of or damage to the Client's property is limited to

£1,000,000

The Contractor's liability for Defects due to its design which are not listed on the Defects Certificate is limited to

£1,000,000

The Contractor's total liability to the Client for all matters arising under or in connection with the contract, other than

£1,000,000

excluded matters, is limited to

The end of liability date is years after the Completion of the whole of the works

Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

If Option Y(UK)2 is used and the final date for payment is not 14 days after the payment date on which the payment becomes due

The period for payment is

30

Days after the date on which payment becomes due

Z: Additional conditions of contract

If Option Z is used

The additional conditions of contract are

| See separate Z clause sheet | | |
|-----------------------------|--|--|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

PART TWO – DATA PROVIDED BY THE CONTRACTOR

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

| The Contractor is | |
|-----------------------------------------------|------------------------|
| Name | |
| Address for communications | |
| | |
| | |
| Address for electronic communications | |
| | |
| The fee percentage is | % |
| The working areas are | |
| | |
| The key persons are | |
| Name (1) | |
| Job | |
| Responsibilities | |
| Qualifications | |
| Experience | |
| Name (2) | |
| Job | |
| Responsibilities | |
| Qualifications | |
| Experience | |
| The following matters will be included in the | Early Warning Register |
| | |
| | |
| | |
| | |
| | |
| | |
| 1 | |

| 2 The Contractor's m | ain responsibilities | | |
|-------------------------------------------------------------|--------------------------------------------------|-----------|------------------|
| If the <i>Contractor</i> is to provide Scope for its design | The Scope provided by the Contractor for its des | ign is in | Tender Programme |
| 3 Time | | | |
| If a programme is to be identified in the Contract Data | The programme identified in the Contract Data is | | Tender Programme |
| | | | |
| 5 Payment | | | |
| If Option A or C is used | The activity schedule is in | | Volume 5 |
| | | | |
| Resolving and avoid | ing disputes | | |
| f Option W2 is used | The Senior Representatives of the Contractor are | | |
| | Name (1) | | |
| | Address for communications | | |
| | Address for electronic communications | | |

| Name (2) | |
|---------------------------------------|--|
| Address for communications | |
| | |
| | |
| Address for electronic communications | |

Data for the Short Schedule of Cost Components (only used with Options A or B)

| ne people rates are | unit | rata |
|--------------------------------------------------------|-----------------------|-------------------------------------------|
| category of person | unit | rate |
| | Hour | |
| | Hour | |
| | Tioui | |
| | Hour | |
| | Hour | |
| | Hour | |
| | | |
| The published list of Equ published by | ipment is the editior | n current at the Contact Date of the list |
| | | |
| The percentage for adjust | tment for Equipmer | nt in the published list is |
| | | % (state plus or minus) |
| The rates for other Equipm | ent are | |
| Equipment | rate | |
| | | |
| | | |
| | | |
| | | |
| | | |
| The rates for Defined Cost he Workings Areas by the | | fabrication outside |
| category of person | rate | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Γhe rates for Defined Cost | | ie Workings Areas are |
| category of person | rate | |
| | | |
| | | |
| | | |
| | | |

| The categories of design people whose traveling expenses to and from the Working Areas are included in Defined Cost are |
|-------------------------------------------------------------------------------------------------------------------------|
| |
| |

Z3 Privacy of Information

- Z3.1 The Contractor shall not give information concerning the works for publication in the press or on radio, television, screen or any other media without the written consent of the Project Manager.
- Z3.2 The Contractor shall at all times comply with the Data Protection Act 2018 including, where appropriate maintaining a valid and up to date registration or notification under the Data Protection Act 2018. This Act incorporates the General Data Protection Regulations (GDPR).
- Z3.3 The Contractor shall indemnify and keep indemnified the Client against all losses, claims, damages, liabilities, costs and expense (including reasonable legal costs) incurred by it in respect of any breach of this clause by the Contractor and/or any act or omission of any Subcontractor.
- Z3.4 The Client in line with the Government's ongoing drive to open up activities of the public sector to greater scrutiny has prepared its transparency agenda and the Contractor hereby agrees that nothing in this clause Z3 shall prevent the Client from publishing any payment of the Price made by the Client to the Contractor under the terms of this Contract.

Z5 Recovery of Sums Due from Contractor

Add the following paragraph to clause 51:

51.6 When under this contract or any statutory requirement any sum of money is recoverable from or payable by the Contractor to the Client it may be deducted from the amount due (or which at any time after may become due) to the Contractor under this contract.

Z8 Health and Safety

- Z8.1 If during the performance of the Contract the Health and Safety of any person is endangered then the Contractor shall cease work and take immediate steps to remedy that situation.
- Z8.2 The Contractor shall inform the Project Manager of all incidents and/or accidents connected with the Contract and that occur during the performance of the Contract.
- Z8.3 Save as provided in the conditions of contract, any action by the Contractor or the Project Manager in accordance with this clause shall not be a compensation event

Z15 Records

Z15.1 The Contractor shall provide to the Project Manager a record of resources used for the works or within the boundaries of the site. The record of resources shall identify:

People such as operatives and staff Subcontractors and Suppliers' operatives and staff Equipment and the records shall also identify the location, nature and extent of resources used to undertake the works and specific tasks within the works. The records shall identify the hours actually worked, cessation of the works due to weather conditions, late delivery of materials, equipment breakdowns or idle periods and the like. The records shall also identify any delays encountered and the reasons for delay.

Z17 Transfer of Undertakings

Z17.1 Should the Contract be terminated for any reason whatsoever the Contractor undertakes to supply to the Client forthwith upon written request all such details as the Client may require regarding all or any of the Contractor's staff who are engaged in the provision of the works and services.

Z19 Considerate Constructors

Z19.1 The Client requires that the Contractor registers this Work Order with the Considerate Constructors Scheme as an individually registered site.

Z29 Flooding Events

Z29.1 Clause 60.1, add the following sub clauses:

(20) A Flooding Event occurs when the water level measurements show that the water level is greater than the following:

- North Quay: 3.00mAOD.
- Henry Moore Lawn North bank: 3.20mAOD.
- Henry Moore Lawn East Wall: 3.00mAOD.
- River Terrace: 3.90mAOD.
- Embankment Terrace to South Car Park: 3.30mAOD

Z32 Value Engineering

Z32.1 In the period within 4 weeks of the Contract Date the Contractor may submit to the Project Manager for acceptance written proposals to change the Scope which if implemented will in the Contractor's opinion:

- enhance the quality or durability of the works or
- improve the efficiency of carrying out the works or
- reduce the cost to the Client of maintaining the works.

If in the event that the Contractor proposals involve permanent works design then the Contractor is responsible for obtaining all planning and design related consents and approvals, prior to submitting his proposals to the Client.

Z32.2 The Contractor includes with his proposals:

- the value of any savings that will arise
- the effect if any on the Accepted Programme
- proposed changes to the Prices.

Z32.3 If the Client accepts the Contractor's proposal the Project Manager gives an instruction to the Contractor within 14 days which changes the Scope. Any consequential effects of implementing the proposals, including time or cost overruns, are borne by the Contractor. Any savings are shared between the Contractor (50%) and the Client (50%).

Upper Alde & Ore Estuary Embankment Improvements, Flood Cell 6, Snape Maltings

FORM OF AGREEMENT

| This agreement is made on theday of 2025 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Between; |
| |
| of East Suffolk Water Management Board (the Client) and |
| |
| |
| of (the Contractor) |
| WHEREAS the Client wishes to have provided the following works: |
| Upper Alde & Ore Estuary Embankment Improvements, Flood Cell 6, Snape Maltings |
| NOW IT IS AGREED THAT |
| 1. The Contractor will provide the Works in accordance with the conditions of contract identified in the Contract Data. |
| 2. The Client will pay the Contractor the amount due and carry out their duties in accordance with the conditions of contract identified in the Contract Data. |
| 3. The documents forming part of this agreement are: |
| Volume 1: Contract Data Parts 1 and 2 – April 2025. |
| Volume 2: Contract Scope, Drawings and Specifications as listed in Appendix A of Volume 2: Scope, Ref: 332611358/002, Revision: A, dated April 2025. |

Volume 3: Site Information as detailed in Appendix A of Volume 2: Scope,

• Volume 5: Activity Schedule, dated 2025, completed by

Ref: 332611358/002, Revision: A, dated April 2025.

Contractor. Total price £ (excl VAT).

EXECUTED AS A DEED BY THE CLIENT

East Suffolk Water Management Board......(the Client) by affixing his common seal in the presence of (signature of Chairman) and (name of Project Delivery Engineer) (signature of Project Delivery Engineer) AND AS A DEED BY THE CONTRACTOR (the Contractor) by affixing his common seal in the presence of(name of Director)(signature of Director) and...... (name of Director or Company Secretary) (signature of Director or Company Secretary)