



National Highways

Scheme Delivery Framework 2 (SDF2)

Procurement Specific Questionnaire (PSQ) - Annex E Bidding and Award Rules

Bidding Rules (All Bands – A, B, C, D, E & F)

Applicants should be aware of the rules below when bidding for the SDF2. These rules apply to all Bands.

1. Applicants must preference the Lots /Sublots they tender for, which will be considered at the award stage.
2. Applicants can bid for any number of Lots and Sublots whilst adhering to the additional Bidding Rules listed below.
3. Applicants can apply individually and / or as part of a consortium /Joint Venture (JV), however Applicants cannot be members of more than one consortium or JV bidding for SDF2 within a Band.

Bidding Entities Rules (All Bands – A, B, C, D, E & F)

4. If an Applicant expresses interest in a Lot/Sublot, any Associated Company, JV or company that shares a person of significant interest is prevented from expressing interest in the same Sublot.

Bidding Rules Band A, B and E

5. Applicants can only bid for one of the following:
 - a. Band A (Main Contractor),
 - b. Band B (General Civils, Drainage & Concrete and Pavements) or
 - c. Band E (Traffic Management).
6. Within Band B Applicants can only bid for either General Civils, Drainage & Concrete (Lot 2) or Pavements (Lot 3).

For clarity: if Applicants are bidding for either Band A, B or E, You can also bid for Bands C, D & F.

Award Rules – General (All Bands – A, B, C, D, E & F)

The Authority has developed a set of award rules for this framework that limit the number of Lot/Sublots that can be awarded to a given Applicant following tender evaluation.

7. Companies bidding as individual companies (i.e not part of a Joint Venture / Consortium) can be awarded a maximum of 8 Lots/Sublots across SDF2, not including contingency positions (subject to bidding rules).
8. Associated Companies can only be collectively awarded the same total number of Lots/Sublots as an individual company within a Lot.
9. An Applicant cannot be awarded a contingency position and a position in the same

Sublot.

Award Rules – Bands A, B and D

10. Applicants awarded one or more Lot and/or Sublot places in Band A, B, or D, can only be awarded 1 Sublot in Band F (Specialists). This does not include contingency positions.
11. Applicants can be awarded 1 place on Lot 1 - Main Contractor (National Lot) (not including contingency positions).
12. Applicants can be awarded up to 2 Sublots in Lot 2 - General Civils, Drainage, Concrete (not including contingency positions).
13. Applicants can be awarded up to 4 Sublots in Lot 3 – Pavements (not including contingency positions).
14. Applicants can be awarded 1 Sublot in Lot 5.1 – Technology Design (North/South) (not including contingency positions).
15. Applicants can be awarded up to 2 Sublots in Lot 5.2- Scheme Design (not including contingency positions).

Award Rules – Band E and F

16. Applicants awarded a place in Band A, B or D can only be awarded 1 sub-lot in Band F (Specialists) (not including contingency positions).
17. Applicants can be awarded up to 3 Sublots in Lot 6 – Traffic Management (not including contingency positions).
18. Applicants can be awarded up to 4 Sublots in Lot 7 – Structures (not including contingency positions).
19. Applicants can be awarded up to 4 Sublots in Lot 8 - Joints & Waterproofing (not including contingency positions).
20. Applicants can be awarded up to 4 Sublots in Lot 9 – Landscaping (not including contingency positions).
21. Applicants can be awarded up to 4 Sublots in Lot 10 – Road Markings (not including contingency positions).
22. Applicants can be awarded up to 3 Sublots in Lot 11 – Signs & Lighting (not including contingency positions).
23. Applicants can be awarded up to 3 Sublots in Lot 12 – Road Restraint Systems (not including contingency).

It is National Highways' intention to allocate all places using the system outlined above. However, there may be circumstances where this is not possible. For example, at the award stage, such circumstances may include (but are not limited to) the following:

- The Authority reserves the right to award more than the maximum number of Sublot awards to a Tenderer in an individual Lot / Sublot, if there are no other Tenderers remaining in that Lot / Sublot and/or no acceptable tenderers for a particular Lot / Sublot.

If such exceptional circumstances arise, the Authority reserves the right (but is not bound) to award an additional Lot/Sublot, above the maximum number of Lot/Sublot awards to a Tenderer where:

- the Tenderer is ranked in the relevant Sublot
- the Authority is satisfied that the Tenderer has sufficient economic and financial standing to undertake the additional Sublot and the other Sublots in which the Tenderer has been awarded. The Authority will make this assessment by reperforming the assessment of economic and financial standing for the additional Sublot (as described in the Conditions of Participation Guidance at section 4); and
- the Tenderer is able to demonstrate to the Authority's reasonable satisfaction that, at tender stage in accordance with the Instructions to Tenderers, the Tenderer's management and resourcing proposals for the additional Sublot and the other Sublots in which the Tenderer has been awarded are adequate

The SDF2 lotting model can be found in Figure 1 below for reference:

Figure 1: SDF2 Lotting Model

