Appendix 2 – Tenderer Response Document

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| **Contract Title** | **F4OR Consultancy Services Framework** |
| **Contract Reference** | **ORE/25/002** |

# General Guidance

## **Please complete this form on your own company headed paper.** However, the content and layout must remain the same.

## Please find below a checklist of documents which should be enclosed with your completed tender document. It is the responsibility of the Tenderer to ensure that all documents have been included and are signed as appropriate as ORE Catapult can only take into consideration those documents received.

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| --- | --- |
| **Document** | **Included** |
| **Tenderer Response Document – at this Appendix 2** |  |
| **Tender Declaration – at this Appendix 2, para 2 below**  Completed on company own headed paper and signed by an authorised person. |  |
| **No Collusion Certificate – at this Appendix 2, para 3 below**  Completed on company own headed paper and signed by an authorised person. |  |
| **Due Diligence Questionnaire – at this Appendix 2, para 4 below** |  |
| **Technical Submission – see guidance at this Appendix 2, para 6 below** |  |
| **Commercial Submission – at Appendix 3 – Offer Worksheet**  Completed, signed by an authorised person and submitted as a separate upload. |  |
| **Selection Questionnaire via on the on-line e-procurement portal** |  |
| **Due Diligence Questionnaire via on the on-line e-procurement portal** |  |

# Tender Declaration

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| * Lot 1 - Sector Specific | * Lot 2 – Business excellence |

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| --- | --- |
| **Contract Title Name** | F4OR Consultancy Services Framework |
| **Contract Reference No** | ORE/25/002 |

I/We hereby offer to supply and deliver the services specified in the foregoing schedule, all in accordance with Appendix 1, General Conditions of Contract and to the entire satisfaction of ORE Catapult or its authorised representative.

I/We hereby certify that no alteration, amendment nor qualification to the invitation to tender document as issued has been made, other than as stipulated in our proposal.

I/We hereby certify that this Tender Declaration has been signed by a person authorised to sign on behalf of the Company e.g. Director, Partner, Company Secretary.

I/we hereby agree to the pricing contained in the Appendix 3, Offer Worksheet within this tender submission remaining valid until ninety (90) days after the published submission deadline date and time for receipt of tenders.

I/We understand that you are not bound to accept the lowest or any Tender you may receive.

I/We understand that ORE Catapult may reject my submission if there is a failure to provide all relevant information or if I provide false or misleading information.

I/We have provided a full list of all appendices used to identify additional information in our response.

I/We also declare that there is no conflict of interest in relation to ORE Catapults requirements.

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| **Registered / Legal Name of the Organisation, including any trading name:** |  |
| **Registered Company Address:** |  |
| **Telephone Number:** |  |
| **E-mail Address:** |  |
| **Company Registration No:** |  |
| **Country of Registration:** |  |
| **VAT Number:** |  |
| **Signed:** | A picture containing logo  Description automatically generated |
| **Print name:** |  |
| **Position in company** (e.g. Director, Partner, Principal, Company Secretary) |  |
| **For and on behalf of:**  (i.e. organisation’s name) |  |
| **Dated:** |  |

Digital signatures are acceptable.

Unsigned or incorrectly signed forms or forms not on your company letter headed paper will be regarded as a non-compliant application and may therefore be rejected.

# No Collusion Certificate

|  |  |
| --- | --- |
| **Contract Title Name** | F4OR Consultancy Services Framework |
| **Contract Reference No** | ORE/25/002 |

The essence of selective tendering is that ORE Catapult shall receive bona fide competitive tenders from all organisations tendering. In recognition of this principle, I/we certify that this is a bona fide tender, intended to be competitive, and that I/we have not fixed or adjusted the amount of the tender by or under or in accordance with any agreement or arrangement with any other person.

I/We hereby certify that this No Collusion Certificate has been signed by a person authorised to sign on behalf of the Company e.g. Director, Partner, Company Secretary.

I/We also certify that we have not done and I/we undertake that I/we will not do at any time before the returnable date for this tender any of the following acts:

1. Communicating to any person the amount or approximate amount of the tender herewith submitted;
2. Entering into any agreement or arrangement with any person that he /she shall refrain from tendering or as to the amount of any tender to be submitted; and
3. Offering or paying or giving or agreeing to pay or give any sum of money or consideration directly or indirectly to any person for doing or having done or causing or having caused to be done in relation to any other tender or proposed tender for the said work any act or thing of the sort described above.

In this certificate, the word "person" includes any persons and anybody or association, corporate or incorporate; and "any agreement or arrangement" includes any such transaction, formal or informal, whether legally binding or not.

I/We acknowledge that ORE Catapult will be entitled to cancel the Contract and to recover from me/us the amount of any loss resulting from such cancellation if I/we or our representatives (whether with our without my/our knowledge) shall have practiced collusion in tendering for this Contract with the ORE Catapult or shall employ any corrupt or illegal practices either in the obtaining or execution of this Contract with the ORE Catapult.

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| --- | --- |
| **Signed:** | A picture containing logo  Description automatically generated |
| **Print name:** |  |
| **Position in company:**  (e.g. Director, Partner, Principal, Company Secretary) |  |
| **For and on behalf of:**  **(i.e. organisation’s name)** |  |
| **Dated:** |  |

Digital signatures are acceptable.

Unsigned or incorrectly signed forms or forms not on your company letter headed paper will be regarded as a non-compliant application and may therefore be rejected.

# General Due Diligence

## The General Due Diligence section is divided into the following three (3) parts and Tenderers must answer **ALL** questions in all parts. Failure to complete all questions may lead to your submission being deemed non-compliant and rejected in its entirety. For the avoidance of doubt, all questions shall be evaluated on a PASS/FAIL basis.

1. Standard Selection Questionnaire – On-Line

## The Standard Selection Questionnaire (“SQ”) is an on-line questionnaire via the e-procurement portal and is available along with the Tender documentation. The SQ is a self-declaration made by you (the Tenderer) that you do not meet any of the grounds for exclusion. If there are grounds for exclusion, there is an opportunity to explain the background and any measures you have taken to rectify the situation (“self-cleaning”).

## Consequently we require **all** the organisations that you will rely on to meet the requirements of this procurement exercise to provide a completed SQ and the Contact Details and Declaration form attached at Section 1.3 of the Selection Questionnaire; a separate document can be provided on request. For example, these could be parent companies, affiliates, associates, or essential sub-contractors, if they are relied upon to meet the selection criteria. This means that where you are joining in a group of organisations, including joint ventures and partnerships, each organisation in that group must complete one of these self-declarations. Sub-contractors that you rely on to meet the selection criteria must also complete a self-declaration (although sub-contractors that are not relied upon do not need to complete the self-declaration).

## The completed SQ will be saved on the e-procurement portal for future use on other tender opportunities i.e. you do not have to complete it for every tender. However, it is the responsibility of the Tenderer to ensure the answers to the SQ are kept up to date and are relevant to the requirements of the individual tender.

1. General Due Diligence Questionnaire – On-Line

## The General Due Diligence Questionnaire is also an on-line questionnaire and is available along with the Tender documentation.

1. Requirement Specific Due Diligence Questionnaire

## The additional questions below are specific to the requirement of this procurement exercise. All information supplied in the responses to these additional questions **must** be relevant to the requirement of this procurement exercise. Failure to comply with this instruction may lead to your submission being deemed non-compliant and rejected in its entirety.

## Extra

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| **Technical and professional ability** | | | | |
| **Relevant experience and contract examples** | | | | |
| Please provide details of up to three (3) contracts, in any combination from either the public or private sector; voluntary, charity or social enterprise (VCSE) that are relevant to our requirement. VCSEs may include samples of grant-funded work. Contracts for supplies or services should have been performed during the past five (5) years.  The named contact provided should be able to provide written evidence to confirm the accuracy of the information provided.  Consortia bids should provide relevant examples of where the consortium has delivered similar requirements. If this is not possible (e.g. the consortium is newly formed or a Special Purpose Vehicle is to be created for this contract) then three (3) separate examples should be provided between the principal member(s) of the proposed consortium or Special Purpose Vehicle (three (3) examples are not required from each member).  Where the Tenderer is a Special Purpose Vehicle, or a managing agent not intending to be the main provider of the supplies or services, the information requested should be provided in respect of the main intended provider(s) or sub-contractor(s) who will deliver the contract.  Failure to comply with this instruction may lead to your submission being deemed non-compliant and rejected in its entirety. | | | | |
|  | **Contract 1** | **Contract 1** | **Contract 1** |
| Name of customer organisation |  |  |  |
| Point of contact in the organisation |  |  |  |
| Position in the organisation |  |  |  |
| E-mail address |  |  |  |
| Description of contract |  |  |  |
| Contract start date |  |  |  |
| Contract completion date |  |  |  |
| Estimated contract value |  |  |  |
| If you cannot provide at least one example for question 4.7.1(a), in no more than five hundred (500) words please provide an explanation e.g. your organisation is a new start-up or you have provided services in the past but not under a contract. | | | |
|  | | | |
| Where you intend to sub-contract a proportion of the contract, please demonstrate how you have previously maintained healthy supply chains with your sub-contractor(s).Evidence should include, but is not limited to, details of your supply chain management tracking systems to ensure performance of the contract and including prompt payment or membership of the UK Prompt Payment Code (or equivalent schemes in other countries) | | | |
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| **Professional and Technical Skills** |
| Please provide information on the **relevant** professional qualifications and technical skills available within your organisation, or where applicable, consortium members and/or names sub-contractors, in relation to the requirements of this procurement exercise.  The information you provide shall include, but not be limited to:   * Organisational chart; * Details of professional memberships/accreditations; and * Person specifications or brief CV’s demonstrating **relevant** skills and professional qualifications held by individuals.   (These may all be attached as a separate upload and clearly marked as a response to this question).  Guidance:  ORE Catapult will use the information you provide to evaluate whether your organisation, consortium members and/or named sub-contractors have the relevant professional and technical skills required in relation to this procurement exercise. At this stage staff are indicative only of the technical competence of the organisation; guarantees of the availability of certain staff and their allocation to fulfilling a potential contract will be evaluated in later rounds of the procurement exercise. |
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| **Information Security Management** | | | | |
| Tenderers will be excluded from the procurement process if they cannot self-certify to holding the relevant Certification **or** to having an in-house Policy that complies with current legislative requirements. | | | | |
| **Question** | | | **Response** |
| 1a | Does your organisation operate an Information Security Management system certified to International, European or equivalent standard (e.g. BS EN ISO 27001)? | | Yes  No |
| 1b | If you have answered “Yes” to the above, please provide: | Approval Certificate Number |  |
| Certificate Expiry Date |  |
| 1c | Please self-certify that your organisation has an in-house policy for the management of Information Security. | | Yes  No |

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| **Business Continuity & Disaster Recovery** | | | |
| Tenderers will be excluded from the procurement process if they cannot self-certify to holding the relevant Certification **or** to having an in-house Policy that complies with current legislative requirements. | | | |
| **Question** | | **Response** |
| 2.1a | Please self-certify that your organisation has an in-house policy for Business Continuity & Disaster Recovery | Yes  No |
| 2.2b | If you have answered “No” to the above question, please describe your arrangements to ensure business continuity and to enable disaster recovery, including scope, validation, risk treatment and leadership in these areas.  Guidance: You should demonstrate that your organisation keeps copies of documentation setting out your business continuity and disaster recovery procedures. These should include the arrangements for business continuity and disaster recovery throughout your organisation. They should set out how the organisation will carry out its policy with a clear indication of how the arrangements are communicated to the workforce. | |
|  | |

## **Consequences of misrepresentation**

If you seriously misrepresent any factual information in filling in the general due diligence questionnaire, and so induce ORE Catapult to enter into a contract, there may be significant consequences. You may be excluded from the procurement procedure, and from bidding for other contracts for three (3) years. If a contract has been entered into you may be sued for damages and the contract may be rescinded. If fraud, or fraudulent intent, can be proved, you or your responsible officers may be prosecuted and convicted of the offence of fraud by false representation, and you must be excluded from further procurements for five (5) years.

## **Documentary Evidence**

Any appointment to this Contract will be subject to the relevant documentary evidence, referred to in any part of the General Due Diligence Questionnaire, being provided upon request and without delay. If the documentary evidence is not provided ORE Catapult reserve the right to amend the contract decision and award to the next compliant Tenderer.

# General Conditions of Contract

## ORE Catapult General Conditions of Contract are attached separately at Appendix 1 of the invitation to tender document.

## Any caveats to the General Conditions of Contract must be red line items only, as all caveats shall be evaluated as per the Evaluation Criteria detailed in Section 10.1 of the tender document.

## Requests to amend the General Conditions of Contract post Contract award will not be accepted.

## Please complete the following:

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| **Does your organisation agree to the General Conditions of Contract as published in Appendix 1 of the tender document and has signed the Tender Declaration in para 2 above?** | Yes  No |

## If you have answered ““No” to the above question, please submit, **as a separate appendix**, your proposed amendments to the General Conditions of Contract.

# Technical Proposal

## Consultants shall submit a Technical proposal based on the criteria detailed in the invitation to tender Section 11.3(i).

## The proposal should be based on the details outlined in the invitation to tender Section 4 and with reference to detailed information contained within the invitation to tender Section 13 in relation to the ability to meet specific supply chain company needs. Further relevant information in relation to the supply chain company needs are provided in Appendix 2 of the invitation to tender.

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| **Project plan showing time scale and deliverables – 25%** |
| Detail the project plan , timescales and deliverables you will provide and work to. (Max word count 500 words). |
| Response: |

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| **Methodology and Proposed Approach – 25%** |
| Detail the methodology and approach you will utilise to ascertain the detail required from the supply chain company to develop a robust and deliverable needs-focused plan. (Max word count 500 words). |
| Response: |

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| **Understanding of this specific project requirement – 25%** |
| Demonstrate your understanding of the requirements in section 4 of the RFQ/ITT. |
| Response: |

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| **Skills and Experience of the Delivery Team – 25%** |
| Provide detail of the relevant experience and capability you hold to deliver against the specified requirements. Where multiple staff members will be involved in the delivery of the work, provide person specifications / job descriptions and relevant capability and experience summaries. (Max word count 500 words – attachments permitted). |
| Response: |

# Commercial Proposal

## Tenderers are required to detail their offer within the Offer Worksheet at Appendix 3. Please note that the Offer Worksheet may contain multiple tabs/worksheets and is to be attached to your response as a separate upload.

## Tenderers shall also specify any other costs associated with the delivery of the Service, as claims for any additional costs, not stated in the Offer Worksheet, will not be considered at a later date.

## At a minimum, ORE Catapult encourages all of its suppliers to pay employees the 'Real Living Wage'.

## Any obvious arithmetical errors will be rectified by the appropriate procurement specialist checking the tenders and the amount of tender shall be held to be the amount of the documents so rectified and the tenderer informed in writing of the corrected amount.

## Where there is an obvious and genuine error in rates occurring, the tenderer will be given the opportunity of either confirming that they agree to their tender being considered with the error remaining or withdrawing their tender. Should the tenderer decide to withdraw their tender, it will not be considered for acceptance.

## **Expenses**

### For the avoidance of doubt all travel shall be carried out in full compliance with ORE Catapult Business Expenses Policy & Procedure. For the avoidance of doubt, suppliers shall be responsible for arranging and booking their own travel and accommodation. as necessary

### Report writing etc. is considered to be from the suppliers’ base address and no expenses for travel/subsistence are payable for this portion of the Service. Report delivery is electronic only, so no expenses of publication or printing are envisaged.

# Key Assumptions

## Tenderers are required to detail their key assumptions in the boxes below:

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| --- |
| **Technical Assumptions:** |
|  |
| **Commercial Assumptions:** |
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| --- | --- |
| **Contract Title Name** | F4OR Consultancy Services Framework |
| **Contract Reference No** | ORE/25/002 |
| **Procurement Lead** | Rachel Douglas |

Additional Comments

Please add any additional comments which you think may help ORE Catapult improve their procurement process.

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| --- | --- |
|  | |
| **Signed:** | A picture containing logo  Description automatically generated | |
| **Print name:** |  | |
| **Position in company:** |  | |
| **For and on behalf of:**  (i.e. organisation’s name) |  | |
| **Dated:** |  | |

Digital signatures are acceptable.

Must be signed by persons authorised to do so on the tenderer’s behalf e.g. Director, Partner, Principal, Company Secretary or as established in a company scheme of delegation.

Unsigned submissions will be regarded as a non-compliant application and may therefore be rejected.