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Award

## **Compliance and Assurance Software**

DERBY HOMES LTD

F15: Voluntary ex ante transparency notice

Notice identifier: 2023/S 000-027172

Procurement identifier (OCID): ocds-h6vhtk-03fc9d

Published 14 September 2023, 9:27am

### **Section I: Contracting authority/entity**

#### **I.1) Name and addresses**

DERBY HOMES LTD

839 London Road

Derby

DE24 8UZ

#### **Contact**

Andrew Grover

#### **Email**

[DH-Procurement@derbyhomes.org](mailto:DH-Procurement@derbyhomes.org)

#### **Telephone**

+44 1332255223

#### **Country**

United Kingdom

**Region code**

UKF11 - Derby

**Companies House**

04380984

**Internet address(es)**

Main address

<https://www.derbyhomes.org>

**I.4) Type of the contracting authority**

Body governed by public law

**I.5) Main activity**

Housing and community amenities

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**Section II: Object**

**II.1) Scope of the procurement**

**II.1.1) Title**

Compliance and Assurance Software

**II.1.2) Main CPV code**

- 48170000 - Compliance software package

**II.1.3) Type of contract**

Supplies

**II.1.4) Short description**

Derby Homes Limited intends to contract for The Compliance Workbook (TCW) software, for the ongoing provision of a specialist, unique Compliance and Assurance software

package. Derby Homes has published this notice and intends to award a contract to The Compliance Workbook Ltd.

#### **II.1.6) Information about lots**

This contract is divided into lots: No

#### **II.1.7) Total value of the procurement (excluding VAT)**

Value excluding VAT: £189,329

### **II.2) Description**

#### **II.2.3) Place of performance**

NUTS codes

- UKF11 - Derby

Main site or place of performance

Derby

#### **II.2.4) Description of the procurement**

Derby Homes Limited currently uses a specialist Compliance and Assurance software package. Derby Homes has published this notice as it intends to award a contract to The Compliance Workbook Ltd. The contract will allow ongoing annual license purchases, support and maintenance. A change of product would have a major impact on operational activities, and create significant costs of change. We do not believe a suitable alternative exists at this time.

Each month the organisation receives up to 1,800 documents across multiples compliance areas with essential information that could drive the direction of the organisation. Software capturing information from this provides evidence of compliance, asset information, improved data interpretation, supports remedial actions and output tracking, automated engineering and regulatory validation with reduced risk of human error. The Building Safety Bill and the Golden Thread of Information puts an onus on the organisation to see and do more with data.

TCW technology is a unique Compliance and Assurance software package, and we are using it to review documents electronically in a manner to extract all essential data needed to validate safety. TCW performs extensive regulatory and best practice calculations to compliance documents to ensure all fields have been complete with accurate and valued information. The product then extracts any further actions or

remedial works and provides a process for managing the assets compliance to ensure all outstanding tasks are remedied in a timely manner.

Research carrying out due diligence on other compliance packages suggest it is reasonable to believe TCW is still the only product that meets all our requirements. Derby Homes have for

some time been using the software and configured it to our requirements. We believe a change of software at this time, if any suitable alternative is available despite our research thus far, would carry significant costs of change and pose risks to our compliance and assurance activities.

#### **II.2.5) Award criteria**

Price

#### **II.2.11) Information about options**

Options: Yes

Description of options

36 month initial contract period with option to extend by up to a further 36 months subject to performance, costs being within value stated above, and any suitable alternatives to the software that may emerge during this time.

#### **II.2.14) Additional information**

We intend to continue using the software for another 36 months and, if no suitable alternatives are notified to us in that time, potentially up to a further 36 months

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## **Section IV. Procedure**

### **IV.1) Description**

#### **IV.1.1) Type of procedure**

Award of a contract without prior publication of a call for competition in the cases listed below

- The procurement falls outside the scope of application of the regulations

Explanation:

Only one possible provider to meet all requirements has been identified. Derby Homes Ltd posts this VEAT notice to confirm no other options exist following our due diligence or if a tender is required in the future, to be transparent about action taken and why. The contract term will be for 36 months initially with option to extend by up to a further 36 months to allow a competitive process in the future if alternatives exist which we have otherwise been unable to identify.

#### **IV.1.8) Information about the Government Procurement Agreement (GPA)**

The procurement is covered by the Government Procurement Agreement: No

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## **Section V. Award of contract/concession**

A contract/lot is awarded: Yes

### **V.2) Award of contract/concession**

#### **V.2.1) Date of conclusion of the contract**

14 September 2023

#### **V.2.2) Information about tenders**

The contract has been awarded to a group of economic operators: No

#### **V.2.3) Name and address of the contractor/concessionaire**

The Compliance Workbook Ltd

5 Bridge Street

Birkenhead

CH41 1AS

Country

United Kingdom

NUTS code

- UKD7 - Merseyside

Companies House

08760486

Internet address

[www.thecomplianceworkbook.com](http://www.thecomplianceworkbook.com)

The contractor/concessionaire is an SME

Yes

**V.2.4) Information on value of contract/lot/concession (excluding VAT)**

Initial estimated total value of the contract/lot/concession: £189,329

Total value of the contract/lot/concession: £189,329

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## Section VI. Complementary information

### VI.4) Procedures for review

#### VI.4.1) Review body

Derby Homes Ltd

839 London Road

Derby

DE24 8UZ

Email

[DH-Procurement@derbyhomes.org](mailto:DH-Procurement@derbyhomes.org)

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