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Tender

## **Provision of a Market Surveillance Solution**

Financial Conduct Authority

F02: Contract notice

Notice identifier: 2021/S 000-024583

Procurement identifier (OCID): ocds-h6vhtk-02ad5a

Published 4 October 2021, 12:14pm

### **Section I: Contracting authority**

#### **I.1) Name and addresses**

Financial Conduct Authority

12 Endeavour Square

London

E20 1JN

#### **Contact**

Paul Riley

#### **Email**

[Paul.Riley@fca.org.uk](mailto:Paul.Riley@fca.org.uk)

#### **Telephone**

+44 2070660911

**Country**

United Kingdom

**NUTS code**

UK - United Kingdom

**Internet address(es)**

Main address

<https://www.fca.org.uk/>

Buyer's address

<https://fca.delta-esourcing.com/>

**I.3) Communication**

The procurement documents are available for unrestricted and full direct access, free of charge, at

<https://fca.delta-esourcing.com/>

Additional information can be obtained from the above-mentioned address

Tenders or requests to participate must be submitted to the above-mentioned address

**I.4) Type of the contracting authority**

Body governed by public law

**I.5) Main activity**

Economic and financial affairs

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**Section II: Object****II.1) Scope of the procurement**

### **II.1.1) Title**

Provision of a Market Surveillance Solution

Reference number

CON-021-080

### **II.1.2) Main CPV code**

- 48000000 - Software package and information systems

### **II.1.3) Type of contract**

Supplies

### **II.1.4) Short description**

The FCA is refreshing its Market Surveillance capability and is looking to update and develop the functionality/scope or capabilities of its current solution.

The current capability is a cloud hosted big data solution that receives around 30m Transaction Reports and circa 200m Order messages covering market activity on around 10,000 listed Products (with associated derivatives) each day and generates alerts to detect potential market abuse and identify trends for further investigation by analysts.

As part of this refresh the FCA would like to extend current capabilities beyond secondary market activity into the near real-time world of issues affecting listed companies.

The solution will be delivered as Software as a Service (SaaS).

All details of the requirements are provided in the ITT documentation.

### **II.1.5) Estimated total value**

Value excluding VAT: £3,500,000

### **II.1.6) Information about lots**

This contract is divided into lots: No

## **II.2) Description**

## **II.2.2) Additional CPV code(s)**

- 48812000 - Financial information systems
- 72000000 - IT services: consulting, software development, Internet and support
- 48000000 - Software package and information systems

## **II.2.3) Place of performance**

NUTS codes

- UK - United Kingdom

Main site or place of performance

UNITED KINGDOM

## **II.2.4) Description of the procurement**

The FCA is refreshing its Market Surveillance capability and is looking to update and develop the functionality/scope or capabilities of its current solution.

The current capability is a cloud hosted big data solution that receives around 30m Transaction Reports and circa 200m Order messages covering market activity on around 10,000 listed Products (with associated derivatives) each day and generates alerts to detect potential market abuse and identify trends for further investigation by analysts.

As part of this refresh the FCA would like to extend current capabilities beyond secondary market activity into the near real-time world of issues affecting listed companies.

The solution will be delivered as Software as a Service (SaaS).

All details of the requirements are provided in the ITT documentation.

## **II.2.5) Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

## **II.2.6) Estimated value**

Value excluding VAT: £3,500,000

## **II.2.7) Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months

60

This contract is subject to renewal

Yes

Description of renewals

There will be two (2) options for renewal at the end of the initial five (5) year term. Each renewal will be for a maximum duration of 12 months.

## **II.2.10) Information about variants**

Variants will be accepted: No

## **II.2.11) Information about options**

Options: Yes

Description of options

There will be two (2) options for renewal at the end of the initial five (5) year term. Each renewal will be for a maximum duration of 12 months.

## **II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union funds: No

## **II.2.14) Additional information**

The Access Code for Delta is: T584Z4947K

<https://fca.delta-esourcing.com/respond/T584Z4947K>

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## **Section III. Legal, economic, financial and technical information**

### **III.1) Conditions for participation**

#### **III.1.2) Economic and financial standing**

Selection criteria as stated in the procurement documents

#### **III.1.3) Technical and professional ability**

Selection criteria as stated in the procurement documents

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## **Section IV. Procedure**

### **IV.1) Description**

#### **IV.1.1) Type of procedure**

Open procedure

#### **IV.1.8) Information about the Government Procurement Agreement (GPA)**

The procurement is covered by the Government Procurement Agreement: Yes

### **IV.2) Administrative information**

#### **IV.2.1) Previous publication concerning this procedure**

Notice number: [2021/S 000-009705](#)

#### **IV.2.2) Time limit for receipt of tenders or requests to participate**

Date

1 November 2021

Local time

12:00pm

#### **IV.2.4) Languages in which tenders or requests to participate may be submitted**

English

#### **IV.2.6) Minimum time frame during which the tenderer must maintain the tender**

Duration in months: 6 (from the date stated for receipt of tender)

#### **IV.2.7) Conditions for opening of tenders**

Date

1 November 2021

Local time

12:01pm

Place

London

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## **Section VI. Complementary information**

### **VI.1) Information about recurrence**

This is a recurrent procurement: No

### **VI.3) Additional information**

The contracting authority considers that this contract may be suitable for economic operators that are small or medium enterprises (SMEs). However, any selection of tenderers will be based solely on the criteria set out for the procurement.

For more information about this opportunity, please visit the Delta eSourcing portal at:

<https://fca.delta-esourcing.com/tenders/UK-UK-London:-Software-package-and-information-systems./T584Z4947K>

To respond to this opportunity, please click here:

<https://fca.delta-esourcing.com/respond/T584Z4947K>

GO Reference: GO-2021104-PRO-19015687

## **VI.4) Procedures for review**

### **VI.4.1) Review body**

High Court of England and Wales

Royal Courts of Justice, The Strand

London

WC2A 2LL

Country

United Kingdom

### **VI.4.3) Review procedure**

Precise information on deadline(s) for review procedures

Any review proceedings will be dealt with in accordance with the requirements of the Public Contracts Regulations 2015 ('PCR 2015'). Any review proceedings must be brought within the timescales specified by the applicable law, including, without limitation, PCR 2015. In accordance with PCR 2015, the contracting party will incorporate a minimum ten (10) calendar days standstill period from the date information on the award of the contract is communicated to tenderers. The notification will provide full information on the award decision.