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Tender

## **Statutory Audit and Multi-Specialty Audit & Advisory Consultancy Services**

East Of England NHS Collaborative Hub c/o West Suffolk NHS Foundation Trust

F02: Contract notice

Notice identifier: 2023/S 000-024383

Procurement identifier (OCID): ocds-h6vhtk-03f550

Published 18 August 2023, 3:31pm

### **Section I: Contracting authority**

#### **I.1) Name and addresses**

East Of England NHS Collaborative Hub c/o West Suffolk NHS Foundation Trust

Victoria House, Capital Park

Fulbourn

CB21 5XB

#### **Contact**

Corporate Service

#### **Email**

[corporate.services@eoecph.nhs.uk](mailto:corporate.services@eoecph.nhs.uk)

#### **Country**

United Kingdom

#### **Region code**

UKH12 - Cambridgeshire CC

### **Internet address(es)**

Main address

<https://www.eoecph.nhs.uk>

Buyer's address

<https://www.eoecph.nhs.uk>

### **I.2) Information about joint procurement**

The contract is awarded by a central purchasing body

### **I.3) Communication**

The procurement documents are available for unrestricted and full direct access, free of charge, at

<https://health-family.force.com/s/Welcome>

Additional information can be obtained from the above-mentioned address

Tenders or requests to participate must be submitted electronically via

<https://health-family.force.com/s/Welcome>

Tenders or requests to participate must be submitted to the above-mentioned address

Electronic communication requires the use of tools and devices that are not generally available. Unrestricted and full direct access to these tools and devices is possible, free of charge, at

<https://health-family.force.com/s/Welcome>

### **I.4) Type of the contracting authority**

Body governed by public law

### **I.5) Main activity**

Health

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## **Section II: Object**

### **II.1) Scope of the procurement**

#### **II.1.1) Title**

Statutory Audit and Multi-Specialty Audit & Advisory Consultancy Services

#### **II.1.2) Main CPV code**

- 79200000 - Accounting, auditing and fiscal services

#### **II.1.3) Type of contract**

Services

#### **II.1.4) Short description**

East of England NHS Collaborative Procurement Hub on behalf of NHS Procurement in Partnership (Comprising of East of England NHS Collaborative Procurement hub, NHS Commercial Solutions, NHS London Procurement partnership and NHS North of England Commercial Procurement Collaborative) is seeking to award a framework for Statutory Audit

and Multi-Specialty Audit & Advisory Consultancy Services

#### **II.1.5) Estimated total value**

Value excluding VAT: £60,000,000

#### **II.1.6) Information about lots**

This contract is divided into lots: Yes

Tenders may be submitted for all lots

## **II.2) Description**

#### **II.2.1) Title**

Lot 1 - Statutory Audit / External Audit

Lot No

## **II.2.2) Additional CPV code(s)**

- 79200000 - Accounting, auditing and fiscal services
- 79210000 - Accounting and auditing services
- 79212100 - Financial auditing services
- 79212000 - Auditing services
- 79212300 - Statutory audit services
- 79212500 - Accounting review services

## **II.2.3) Place of performance**

NUTS codes

- UK - United Kingdom

## **II.2.4) Description of the procurement**

### **II.2.4) Description of the procurement**

External Audit is an essential part of the process of accountability for public money. In the public sector auditors give an independent opinion on public bodies' financial statements and may review, and report on all aspects of the arrangements put in place by public bodies

to ensure the proper conduct of their financial affairs, and to manage their performance and

use of resources.

The External Audit programme offered by the framework will be consistent with the 'principles of public audit' as defined by the Public Audit Forum.

## **II.2.5) Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

## **II.2.7) Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months

48

This contract is subject to renewal

No

## **II.2.10) Information about variants**

Variants will be accepted: No

## **II.2.11) Information about options**

Options: Yes

Description of options

Initial term of 24 month with an option to extend for a further 2 x 12 months

## **II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union funds: No

# **II.2) Description**

## **II.2.1) Title**

Lot 2 - Counter Fraud

Lot No

2

## **II.2.2) Additional CPV code(s)**

- 79200000 - Accounting, auditing and fiscal services
- 79212000 - Auditing services
- 79212100 - Financial auditing services
- 79212400 - Fraud audit services
- 79210000 - Accounting and auditing services

## **II.2.3) Place of performance**

NUTS codes

- UK - United Kingdom

## **II.2.4) Description of the procurement**

All Publicly funded Contracting Bodies require high quality Counter Fraud Services, which meet the service delivery and reporting requirements specifically relevant to their organisation.,

The Counter Fraud Programme available against the framework captures both a preventative and reactive focus for investigations.

The Counter Fraud Programme must identify and minimise the potential for fraud and corruption in accordance with any guidance applicable to the Contracting Authority.

#### **II.2.5) Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

#### **II.2.7) Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months

48

This contract is subject to renewal

No

#### **II.2.10) Information about variants**

Variants will be accepted: No

#### **II.2.11) Information about options**

Options: Yes

Description of options

Initial term of 24 month with an option to extend for a further 2 x 12 months

#### **II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union funds: No

### **II.2) Description**

#### **II.2.1) Title**

Lot 3 - Internal Audit

Lot No

3

### **II.2.2) Additional CPV code(s)**

- 79200000 - Accounting, auditing and fiscal services
- 79212300 - Statutory audit services
- 79212500 - Accounting review services
- 79212100 - Financial auditing services
- 79212200 - Internal audit services
- 79210000 - Accounting and auditing services
- 79212000 - Auditing services
- 79212400 - Fraud audit services
- 79200000 - Accounting, auditing and fiscal services

### **II.2.3) Place of performance**

NUTS codes

- UK - United Kingdom

### **II.2.4) Description of the procurement**

The Provider will be expected to provide a full Internal Audit Service, undertaking systems based audits where feasible. The main responsibility of that service will be to provide the Board (through its Audit Committee) with assessments of the effectiveness of the Contracting Authority's system of internal control which can be relied on by External Auditors for their Annual Governance Statement.

The Internal Auditors will report to the Director of Finance and Performance but will also have direct access to the Audit Committee. It is recognised that an effective control system reduces the likelihood that errors or irregularities will occur and remain undetected;

however, it does not eliminate that possibility. It is also recognised that the Provider's work does not guarantee that errors or irregularities will not occur and may not detect errors or irregularities which do occur.

### **II.2.5) Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement

documents

**II.2.7) Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months

48

This contract is subject to renewal

No

**II.2.10) Information about variants**

Variants will be accepted: No

**II.2.11) Information about options**

Options: Yes

Description of options

Initial term of 24 month with an option to extend for a further 2 x 12 months

**II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union funds: No

**II.2) Description**

**II.2.1) Title**

Lot 4 - Assurance Reviews

Lot No

4

**II.2.2) Additional CPV code(s)**

- 79200000 - Accounting, auditing and fiscal services
- 79212100 - Financial auditing services
- 79212000 - Auditing services

- 79210000 - Accounting and auditing services

### **II.2.3) Place of performance**

NUTS codes

- UK - United Kingdom

### **II.2.4) Description of the procurement**

The Assurance Review services provided should include but is not limited to, continuous and reliable assurance on organisational stewardship and the management of the major risks to organisational success, and delivery of improved, cost effective, public services. Assurance will cover looking at quality control mechanisms, employee feedback, information technology systems, and organisational behaviour.

### **II.2.5) Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

### **II.2.7) Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months

48

This contract is subject to renewal

No

### **II.2.10) Information about variants**

Variants will be accepted: No

### **II.2.11) Information about options**

Options: Yes

Description of options

Initial term of 24 month with an option to extend for a further 2 x 12 months

### **II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union

funds: No

## **II.2) Description**

### **II.2.1) Title**

Lot 5 - Digital and IM&T Audit

Lot No

5

### **II.2.2) Additional CPV code(s)**

- 72810000 - Computer audit services

### **II.2.3) Place of performance**

NUTS codes

- UK - United Kingdom

### **II.2.4) Description of the procurement**

The Supplier will be expected to provide a full Digital and IM&T Audit Service to determine the effectiveness of the Contracting Authorities internal systems. This includes applying IT audit and data enabled techniques, evaluating and testing controls within and associated with information systems relevant to reporting and providing expert advice and support for other digital matters.

### **II.2.5) Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

### **II.2.7) Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months

48

This contract is subject to renewal

No

### **II.2.10) Information about variants**

Variants will be accepted: No

#### **II.2.11) Information about options**

Options: Yes

Description of options

Initial term of 24 month with an option to extend for a further 2 x 12 months

#### **II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union funds: No

### **II.2) Description**

#### **II.2.1) Title**

Lot 6 - Security Management

Lot No

6

#### **II.2.2) Additional CPV code(s)**

- 66171000 - Financial consultancy services
- 79212000 - Auditing services
- 79700000 - Investigation and security services

#### **II.2.3) Place of performance**

NUTS codes

- UK - United Kingdom

#### **II.2.4) Description of the procurement**

The role of the LSMS is to implement at a local level, the national policy for Security Management, and to work proactively and reactively with the NHS contracting authorities accessing these services.

Providers will be required to assist with the development and implementation of services as well as assisting trusts review their security strategies to ensure that staff and patients

along with trust and personal assets are protected as far as is reasonably practicable, allowing the trust to focus on providing and delivering high quality healthcare.

#### **II.2.5) Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

#### **II.2.7) Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months

48

This contract is subject to renewal

No

#### **II.2.10) Information about variants**

Variants will be accepted: No

#### **II.2.11) Information about options**

Options: Yes

Description of options

Initial term of 24 month with an option to extend for a further 2 x 12 months

#### **II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union funds: No

### **II.2) Description**

#### **II.2.1) Title**

Lot 7 - Multi-Audit & Specialist Service

Lot No

All Lots

## **II.2.2) Additional CPV code(s)**

- 79200000 - Accounting, auditing and fiscal services
- 79212100 - Financial auditing services
- 79212000 - Auditing services
- 79212300 - Statutory audit services
- 79212400 - Fraud audit services
- 79212200 - Internal audit services
- 79210000 - Accounting and auditing services

## **II.2.3) Place of performance**

NUTS codes

- UK - United Kingdom

## **II.2.4) Description of the procurement**

Lot 7 is a locked Lot and will not be evaluated; it is intended that inclusion to this Lot will only be available to those Providers awarded to the Framework in Lots 2-6.

Multi-Audit & Specialist services can either supplement the Lot or Lots a provider has been awarded to, complimenting services detailed against the Lot, or alternatively they can be stand alone to provide independent review and scrutiny for governance requirements applicable to the wider Audit environment, or services and advice which ties back to the wider requirements of the Trust.

Multi-Audit & Specialist Service requirements may change during the life of the Framework; from changes in requirements from legislation, or individual Trust needs, staff turnover and organisational transition etc.

## **II.2.5) Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

## **II.2.7) Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months

48

This contract is subject to renewal

No

#### **II.2.10) Information about variants**

Variants will be accepted: No

#### **II.2.11) Information about options**

Options: Yes

Description of options

Initial term of 24 month with an option to extend for a further 2 x 12 months

#### **II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union funds: No

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### **Section III. Legal, economic, financial and technical information**

#### **III.1) Conditions for participation**

##### **III.1.2) Economic and financial standing**

Selection criteria as stated in the procurement documents

##### **III.1.3) Technical and professional ability**

Selection criteria as stated in the procurement documents

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## Section IV. Procedure

### IV.1) Description

#### IV.1.1) Type of procedure

Open procedure

#### IV.1.8) Information about the Government Procurement Agreement (GPA)

The procurement is covered by the Government Procurement Agreement: Yes

### IV.2) Administrative information

#### IV.2.2) Time limit for receipt of tenders or requests to participate

Date

28 September 2023

Local time

12:00pm

#### IV.2.4) Languages in which tenders or requests to participate may be submitted

English

#### IV.2.6) Minimum time frame during which the tenderer must maintain the tender

Duration in months: 48 (from the date stated for receipt of tender)

#### IV.2.7) Conditions for opening of tenders

Date

18 August 2023

Local time

12:00pm

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## Section VI. Complementary information

### VI.1) Information about recurrence

This is a recurrent procurement: No

### VI.3) Additional information

NHS PiP member bodies as listed at the below websites and any additional new NHS PiP members including wholly owned companies and subsidiaries may utilise this framework during the contract term.

<http://noecpc.nhs.uk/current-members>

<https://www.commercialsolutions-sec.nhs.uk/>

<https://www.lpp.nhs.uk/>

<https://www.eoecph.nhs.uk/>

In addition to the NHS PIP Members this Framework Agreement is for use by Contracting Authorities in the United Kingdom (England, Scotland, Wales, and Northern Ireland), British

Overseas Territories, and Crown Dependencies that exist on [insert date of tender issue] and

which fall into one or more of the following categories:

1. Any of the following:
  - (a) Ministerial government departments;
  - (b) Non ministerial government departments;
  - (c) Executive agencies of government;
  - (d) Non-Departmental Public Bodies (NDPBs), including advisory NDPBs, executive NDPBs, and tribunal NDPBs;
  - (e) Assembly Sponsored Public Bodies (ASPBs);
  - (f) Police forces;
  - (g) Fire and rescue services;
  - (h) Ambulance services;
  - (i) Maritime and coastguard agency services;
  - (j) NHS bodies;
  - (k) Educational bodies or establishments including state schools (nursery schools, primary schools, middle or high schools, secondary schools, special schools), academies, colleges, Pupil Referral Unit (PRU), further education colleges and universities;
  - (l) Hospices;
  - (m) National Parks;
  - (n) Housing associations, including registered social landlords;
  - (o) Third sector and charities;
  - (p) Citizens advice bodies;

- (q) Councils, including county councils, district councils, county borough councils, community councils, London borough councils, unitary councils, metropolitan councils, Page 17 to 18  
parish councils;
- (r) Public corporations;
- (s) Public financial bodies or institutions;
- (t) Public pension funds;
- (u) Central banks;
- (v) Civil service bodies, including public sector buying organisations;
- (w) Local Authorities;
- (x) Social Enterprises;
- (y) Independent health advisory organisations working in conjunction with or on behalf of the  
Public Sector
- (z) GP's and GP Federations working in conjunction with or on behalf of the Public Sector
2. Those listed and maintained by the Government on their website at <https://www.gov.uk/government/organisations> or any replacement or updated web-link.
3. Those listed and maintained by the Office of National Statistics (ONS) at Public sector classification guide and forward work plan - Office for National Statistics ([ons.gov.uk](https://www.ons.gov.uk)) or any replacement or updated web-link.
4. Those bodies in England, Scotland, Wales or Northern Ireland which are within the scope of the definition of "Contracting Authority" in regulation 2(1) of the Public Contracts Regulations 2015 (PCR) and/or Schedule 1 PCR.
5. Isle of Man (IoM) Government and associated IoM based public bodies, including all IoM Government Departments and Cabinet Office, including but not limited to: Department of Health & Social Care, as listed at: <https://www.gov.im/about-the-government/departments> or any replacement or updated web-link.

## **VI.4) Procedures for review**

### **VI.4.1) Review body**

NHS Litigation Authority

8th Floor, 10 South Colonnade, Canary Wharf

London

E14 4PU

Country

United Kingdom

Internet address

<https://www.resolution.nhs.uk/>