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Contract

## **ID 3794362 DoF - Provision of Internal Audit Services**

Department of Finance

F03: Contract award notice

Notice identifier: 2022/S 000-013840

Procurement identifier (OCID): ocds-h6vhtk-0316c5

Published 23 May 2022, 2:18pm

### **Section I: Contracting authority**

#### **I.1) Name and addresses**

Department of Finance

303 Airport Road West

BELFAST

BT3 9ED

#### **Email**

[SSDAdmin.CPD@finance-ni.gov.uk](mailto:SSDAdmin.CPD@finance-ni.gov.uk)

#### **Country**

United Kingdom

#### **NUTS code**

UK - United Kingdom

#### **Internet address(es)**

Main address

<https://etendersni.gov.uk/epps>

Buyer's address

<https://etendersni.gov.uk/epps>

#### **I.4) Type of the contracting authority**

Body governed by public law

#### **I.5) Main activity**

General public services

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## **Section II: Object**

### **II.1) Scope of the procurement**

#### **II.1.1) Title**

ID 3794362 DoF - Provision of Internal Audit Services

Reference number

ID 3794362

#### **II.1.2) Main CPV code**

- 79212000 - Auditing services

#### **II.1.3) Type of contract**

Services

#### **II.1.4) Short description**

The Contract will commence on 5 June 2022. The GIAFIS requires Contractors to provide internal auditors for an agreed number of days to carry out risk-based Internal Audit assignments as agreed within the Internal Audit Strategies and Internal Audit Plans. These days/assignments will cover a range of different systems and areas across the NICS including governance, finance, policy development and implementation, project management, grant funding and ICT systems in various locations throughout Northern Ireland. The Contract will be divided into two lots: • Lot 1 – General Internal Audit Services • Lot 2 – ICT Internal Audit Services

#### **II.1.6) Information about lots**

This contract is divided into lots: Yes

#### **II.1.7) Total value of the procurement (excluding VAT)**

Value excluding VAT: £1,000,000

### **II.2) Description**

#### **II.2.1) Title**

Lot 1 – General Internal Audit Services

Lot No

1

### **II.2.2) Additional CPV code(s)**

- 79212200 - Internal audit services
- 79212300 - Statutory audit services
- 79212400 - Fraud audit services
- 79200000 - Accounting, auditing and fiscal services
- 79210000 - Accounting and auditing services
- 79212100 - Financial auditing services
- 79000000 - Business services: law, marketing, consulting, recruitment, printing and security
- 79212110 - Corporate governance rating services

### **II.2.3) Place of performance**

NUTS codes

- UKN - Northern Ireland

### **II.2.4) Description of the procurement**

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### **II.2.5) Award criteria**

Quality criterion - Name: AC1 Contract Management / Weighting: 20

Cost criterion - Name: AC2 Daily Rate / Weighting: 80

### **II.2.11) Information about options**

Options: Yes

Description of options

The Contract shall take effect on 5 June 2022 and shall continue until the end of the Contract Period 4 June 2023. There will be two extension periods to the Contract for any period up to and including 12 Months

### **II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union funds: No

### **II.2.14) Additional information**

This is a demand driven contract, there is no guarantee of work given.

## **II.2) Description**

### **II.2.1) Title**

Lot 2 – ICT Internal Audit Services

Lot No

2

### **II.2.2) Additional CPV code(s)**

- 79212200 - Internal audit services
- 79212300 - Statutory audit services
- 79212400 - Fraud audit services
- 79200000 - Accounting, auditing and fiscal services
- 79210000 - Accounting and auditing services
- 79212100 - Financial auditing services
- 79000000 - Business services: law, marketing, consulting, recruitment, printing and security
- 79212110 - Corporate governance rating services

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The procurement is related to a project and/or programme financed by European Union funds: No

#### **II.2.14) Additional information**

This is a demand driven contract, there is no guarantee of work given.

## **Section IV. Procedure**

### **IV.1) Description**

#### **IV.1.1) Type of procedure**

Open procedure

#### **IV.1.8) Information about the Government Procurement Agreement (GPA)**

The procurement is covered by the Government Procurement Agreement: Yes

### **IV.2) Administrative information**

#### **IV.2.1) Previous publication concerning this procedure**

Notice number: [2022/S 000-004138](#)

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## **Section V. Award of contract**

### **Contract No**

1

### **Lot No**

1

### **Title**

Lot 1 – General Internal Audit Services

A contract/lot is awarded: Yes

### **V.2) Award of contract**

#### **V.2.1) Date of conclusion of the contract**

23 May 2022

#### **V.2.2) Information about tenders**

Number of tenders received: 5

Number of tenders received from SMEs: 3

Number of tenders received from tenderers from other EU Member States: 0

Number of tenders received from tenderers from non-EU Member States: 5

Number of tenders received by electronic means: 5

The contract has been awarded to a group of economic operators: Yes

#### **V.2.3) Name and address of the contractor**

NOT JUST GOVERNANCE

27 Cardigan Drive

BELFAST

BT14 6LX

Email

[geraldine@notjustgovernance.com](mailto:geraldine@notjustgovernance.com)

Telephone

+44 7954857842

Country

United Kingdom

NUTS code

- UK - United Kingdom

Internet address

<https://etendersni.gov.uk/epps>

The contractor is an SME

Yes

### **V.2.3) Name and address of the contractor**

Deloitte - Main Account MCS/LLP

Hill House

LONDON

EC4A 3JR

Email

[cpdtenders@deloitte.co.uk](mailto:cpdtenders@deloitte.co.uk)

Telephone

+44 2890322861

Fax

+44 2890531336

Country

United Kingdom

NUTS code

- UK - United Kingdom

Internet address

<https://etendersni.gov.uk/epps>

The contractor is an SME

No

**V.2.3) Name and address of the contractor**

ASM B LTD

Glendinning House

BELFAST

BT1 6DN

Email

[michael.williamson@asmbelfast.com](mailto:michael.williamson@asmbelfast.com)

Telephone

+44 2890249222

Fax

+44 2890249333

Country

United Kingdom

NUTS code

- UK - United Kingdom

Internet address

<https://etendersni.gov.uk/epps>

The contractor is an SME

Yes

### **V.2.3) Name and address of the contractor**

TIAA LIMITED

Unit 53-55 Gosport Business Centre

LEE-ON-THE-SOLENT

PO13 0FQ

Email

[tenders@ttaa.co.uk](mailto:tenders@ttaa.co.uk)

Telephone

+44 8453003333

Fax

+44 1329848177

Country

United Kingdom

NUTS code

- UK - United Kingdom

Internet address

<https://etendersni.gov.uk/epps>

The contractor is an SME

Yes

**V.2.3) Name and address of the contractor**

AZETS HOLDINGS LIMITED

CHURCHILL HOUSE, 59 LICHFIELD STREET

Cardiff

WS4 2BX

Email

[paul.haley@azets.co.uk](mailto:paul.haley@azets.co.uk)

Telephone

+44 8458948966

Country

United Kingdom

NUTS code

- UK - United Kingdom

Internet address

<https://etendersni.gov.uk/epps>

The contractor is an SME

No

**V.2.4) Information on value of contract/lot (excluding VAT)**

Initial estimated total value of the contract/lot: £800,000

Total value of the contract/lot: £160,000

## **Section V. Award of contract**

### **Contract No**

2

### **Lot No**

2

### **Title**

Lot 2 – ICT Internal Audit Services

A contract/lot is awarded: Yes

### **V.2) Award of contract**

#### **V.2.1) Date of conclusion of the contract**

23 May 2022

#### **V.2.2) Information about tenders**

Number of tenders received: 5

Number of tenders received from SMEs: 1

Number of tenders received from tenderers from other EU Member States: 0

Number of tenders received from tenderers from non-EU Member States: 2

Number of tenders received by electronic means: 5

The contract has been awarded to a group of economic operators: Yes

#### **V.2.3) Name and address of the contractor**

TIAA LIMITED

Unit 53-55 Gosport Business Centre

LEE-ON-THE-SOLENT

PO13 0FQ

Email

[tenders@tiaa.co.uk](mailto:tenders@tiaa.co.uk)

Telephone

+44 8453003333

Fax

+44 1329848177

Country

United Kingdom

NUTS code

- UK - United Kingdom

Internet address

<https://etendersni.gov.uk/epps>

The contractor is an SME

Yes

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AZETS HOLDINGS LIMITED

CHURCHILL HOUSE, 59 LICHFIELD STREET

Cardiff

WS4 2BX

Email

[paul.haley@azets.co.uk](mailto:paul.haley@azets.co.uk)

Telephone

+44 8458948966

Country

United Kingdom

NUTS code

- UK - United Kingdom

Internet address

<https://etendersni.gov.uk/epps>

The contractor is an SME

No

**V.2.4) Information on value of contract/lot (excluding VAT)**

Initial estimated total value of the contract/lot: £200,000

Total value of the contract/lot: £100,000

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## **Section VI. Complementary information**

### **VI.3) Additional information**

Contract Monitoring. The successful contractor's performance on the contract will be regularly monitored in line with PGN 01/12. Contractors not delivering on contract requirements is a serious matter. It means the public purse is not getting what it is paying for. If a contractor fails to reach satisfactory levels of contract performance they will be given a specified time to improve. If, after the specified time, they still fail to reach satisfactory levels of contract performance, the matter will be escalated to senior management in CPD for further action. If this occurs and their performance still does not improve to satisfactory levels within the specified period, it may be regarded as an act of grave professional misconduct and they may be issued with a Notice of Unsatisfactory Performance and the contract may be terminated. The issue of a Notice of Unsatisfactory Performance will result in the contractor being excluded from all procurement competitions being undertaken by Centres of Procurement Expertise on behalf of bodies covered by the Northern Ireland Procurement Policy for a period of twelve months from the date of issue of the notice..

### **VI.4) Procedures for review**

#### **VI.4.1) Review body**

The UK does not have any such bodies with responsibility for appeal/mediation procedures. Instead; any challenges are dealt with by the High Court, Commercial Division, to which proceedings may be issued regarding alleged breaches of the PCR 2015 as amended.

Belfast

Country

United Kingdom

#### **VI.4.3) Review procedure**

Precise information on deadline(s) for review procedures

CPD complied with the Public Contracts Regulations 2015 (as amended) and incorporated a standstill period (i.e. a minimum of 10 calendar days) at the point information on the award of contract was communicated to tenderers. That notification provided full information on the award decision. This provided time for the unsuccessful tenderers to challenge the award decision before the contract was entered into.