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Tender

ID 3794362 DoF - Provision of Internal Audit Services

Department of Finance

F02: Contract notice

Notice identifier: 2022/S 000-004138

Procurement identifier (OCID): ocds-h6vhtk-0316c5

Published 14 February 2022, 1:34pm

Section I: Contracting authority

I.1) Name and addresses

Department of Finance

303 Airport Road West

BELFAST

BT3 9ED

Email

SSDAdmin.CPD@finance-ni.gov.uk

Country

United Kingdom

NUTS code

UK - United Kingdom

Internet address(es)

Main address

<https://etendersni.gov.uk/epps>

Buyer's address

<https://etendersni.gov.uk/epps>

I.3) Communication

The procurement documents are available for unrestricted and full direct access, free of charge, at

<https://etendersni.gov.uk/epps>

Additional information can be obtained from the above-mentioned address

Tenders or requests to participate must be submitted electronically via

<https://etendersni.gov.uk/epps>

Tenders or requests to participate must be submitted to the above-mentioned address

I.4) Type of the contracting authority

Body governed by public law

I.5) Main activity

General public services

Section II: Object

II.1) Scope of the procurement

II.1.1) Title

ID 3794362 DoF - Provision of Internal Audit Services

Reference number

ID 3794362

II.1.2) Main CPV code

- 79212000 - Auditing services

II.1.3) Type of contract

Services

II.1.4) Short description

The Contract will commence on 5 June 2022. The GIAFIS requires Contractors to provide internal auditors for an agreed number of days to carry out risk-based Internal Audit assignments as agreed within the Internal Audit Strategies and Internal Audit Plans. These days/assignments will cover a range of different systems and areas across the NICS including governance, finance, policy development and implementation, project management, grant funding and ICT systems in various locations throughout Northern Ireland. The Contract will be divided into two lots: • Lot 1 – General Internal Audit Services • Lot 2 – ICT Internal Audit Services

II.1.5) Estimated total value

Value excluding VAT: £1,000,000

II.1.6) Information about lots

This contract is divided into lots: Yes

Tenders may be submitted for maximum number of lots
2

II.2) Description

II.2.1) Title

Lot 1 – General Internal Audit Services

Lot No

1

II.2.2) Additional CPV code(s)

- 79212200 - Internal audit services
- 79212300 - Statutory audit services
- 79212400 - Fraud audit services
- 79200000 - Accounting, auditing and fiscal services
- 79210000 - Accounting and auditing services
- 79212100 - Financial auditing services
- 79000000 - Business services: law, marketing, consulting, recruitment, printing and security
- 79212110 - Corporate governance rating services

II.2.3) Place of performance

NUTS codes

- UKN - Northern Ireland

II.2.4) Description of the procurement

The Contract will commence on 5 June 2022. The GIAFIS requires Contractors to provide internal auditors for an agreed number of days to carry out risk-based Internal Audit assignments as agreed within the Internal Audit Strategies and Internal Audit Plans. These days/assignments will cover a range of different systems and areas across the NICS including governance, finance, policy development and implementation, project management, grant funding and ICT systems in various locations throughout Northern Ireland. The Contract will be divided into two lots: • Lot 1 – General Internal Audit Services • Lot 2 – ICT Internal Audit Services

II.2.5) Award criteria

Price is not the only award criterion and all criteria are stated only in the procurement documents

II.2.6) Estimated value

Value excluding VAT: £800,000

II.2.7) Duration of the contract, framework agreement or dynamic purchasing system

Duration in months

12

This contract is subject to renewal

Yes

Description of renewals

The Contract shall take effect on 5 June 2022 and shall continue until the end of the Contract Period (4 June 2023). There will be two extension periods to the Contract for any period up to and including 12 Months

II.2.10) Information about variants

Variants will be accepted: No

II.2.11) Information about options

Options: Yes

Description of options

The Contract shall take effect on 5 June 2022 and shall continue until the end of the Contract Period (4 June 2023). There will be two extension periods to the Contract for any period up to and including 12 Months

II.2.13) Information about European Union Funds

The procurement is related to a project and/or programme financed by European Union funds: No

II.2.14) Additional information

This is a demand driven contract, there is no guarantee of work given.

II.2) Description

II.2.1) Title

Lot 2 – ICT Internal Audit Services

Lot No

2

II.2.2) Additional CPV code(s)

- 79212200 - Internal audit services
- 79212300 - Statutory audit services
- 79212400 - Fraud audit services
- 79200000 - Accounting, auditing and fiscal services
- 79210000 - Accounting and auditing services
- 79212100 - Financial auditing services
- 79000000 - Business services: law, marketing, consulting, recruitment, printing and security
- 79212110 - Corporate governance rating services

II.2.3) Place of performance

NUTS codes

- UKN - Northern Ireland

II.2.4) Description of the procurement

The Contract will commence on 5 June 2022. The GIAFIS requires Contractors to provide internal auditors for an agreed number of days to carry out risk-based Internal Audit assignments as agreed within the Internal Audit Strategies and Internal Audit Plans. These days/assignments will cover a range of different systems and areas across the NICS including governance, finance, policy development and implementation, project management, grant funding and ICT systems in various locations throughout Northern Ireland. The Contract will be divided into two lots: • Lot 1 – General Internal Audit Services • Lot 2 – ICT Internal Audit Services

II.2.5) Award criteria

Price is not the only award criterion and all criteria are stated only in the procurement documents

II.2.6) Estimated value

Value excluding VAT: £200,000

II.2.7) Duration of the contract, framework agreement or dynamic purchasing system

Duration in months

12

This contract is subject to renewal

Yes

Description of renewals

The Contract shall take effect on 5 June 2022 and shall continue until the end of the Contract Period (4 June 2023). There will be two extension periods to the Contract for any period up to and including 12 Months

II.2.10) Information about variants

Variants will be accepted: No

II.2.11) Information about options

Options: Yes

Description of options

The Contract shall take effect on 5 June 2022 and shall continue until the end of the Contract Period (4 June 2023). There will be two extension periods to the Contract for any period up to and including 12 Months

II.2.13) Information about European Union Funds

The procurement is related to a project and/or programme financed by European Union funds: No

II.2.14) Additional information

This is a demand driven contract, there is no guarantee of work given.

Section III. Legal, economic, financial and technical information

III.1) Conditions for participation

III.1.2) Economic and financial standing

List and brief description of selection criteria

as per tender documents

III.1.3) Technical and professional ability

List and brief description of selection criteria

as per tender documents

III.2) Conditions related to the contract

III.2.1) Information about a particular profession

Execution of the service is reserved to a particular profession

Reference to the relevant law, regulation or administrative provision

as per tender documents

Section IV. Procedure

IV.1) Description

IV.1.1) Type of procedure

Open procedure

IV.1.8) Information about the Government Procurement Agreement (GPA)

The procurement is covered by the Government Procurement Agreement: Yes

IV.2) Administrative information

IV.2.2) Time limit for receipt of tenders or requests to participate

Date

16 March 2022

Local time

3:00pm

IV.2.4) Languages in which tenders or requests to participate may be submitted

English

IV.2.6) Minimum time frame during which the tenderer must maintain the tender

Tender must be valid until: 14 June 2022

IV.2.7) Conditions for opening of tenders

Date

16 March 2022

Local time

3:30pm

Information about authorised persons and opening procedure

Only CPD Procurement Staff with access to the project on eTendersNI.

Section VI. Complementary information

VI.1) Information about recurrence

This is a recurrent procurement: No

VI.3) Additional information

Contract Monitoring. The successful contractor's performance on the contract will be regularly monitored in line with PGN 01/12. Contractors not delivering on contract requirements is a serious matter. It means the public purse is not getting what it is paying for. If a contractor fails to reach satisfactory levels of contract performance they will be given a specified time to improve. If, after the specified time, they still fail to reach satisfactory levels of contract performance, the matter will be escalated to senior management in CPD for further action. If this occurs and their performance still does not improve to satisfactory levels within the specified period, it may be regarded as an act of grave professional misconduct and they may be issued with a Certificate of Unsatisfactory Performance and the contract may be terminated. The issue of a Certificate of Unsatisfactory Performance will result in the contractor being excluded from all procurement competitions being undertaken by Centres of Procurement Expertise on behalf of bodies covered by the Northern Ireland Procurement Policy for a period of twelve months from the date of issue of the certificate." . . "The Authority expressly reserves the rights: . . (I). not to award any contract as a result of the procurement process commenced by publication of this notice; (II). to make whatever changes it may see fit to the content and structure of the tendering Competition; (III). to award (a) contract(s) in respect of any part(s) of the [services] covered by this notice; and (IV). to award contract(s) in stages. . . and in no circumstances will the Authority be liable for any costs incurred by candidates. . .

VI.4) Procedures for review

VI.4.1) Review body

The UK does not have any such bodies with responsibility for appeal/mediation procedures. Instead; any challenges are dealt with by the High Court, Commercial Division, to which proceedings may be issued regarding alleged breaches of the PCR 2015 as amended.

Belfast

Country

United Kingdom

VI.4.3) Review procedure

Precise information on deadline(s) for review procedures

CPD will comply with the Public Contracts Regulations 2015 (as amended) and, where appropriate, will incorporate a standstill period (i.e. a minimum of 10 calendar days) at the point information on the award of contract is communicated to tenderers. That notification will provide full information on the award decision. This provides time for the unsuccessful tenderers to challenge the award decision before the contract is entered into.