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Tender

## **ID 3794362 DoF - Provision of Internal Audit Services**

Department of Finance

F02: Contract notice

Notice identifier: 2022/S 000-004138

Procurement identifier (OCID): ocids-h6vhtk-0316c5

Published 14 February 2022, 1:34pm

### **Section I: Contracting authority**

#### **I.1) Name and addresses**

Department of Finance

303 Airport Road West

BELFAST

BT3 9ED

#### **Email**

[SSDAdmin.CPD@finance-ni.gov.uk](mailto:SSDAdmin.CPD@finance-ni.gov.uk)

#### **Country**

United Kingdom

#### **NUTS code**

UK - United Kingdom

#### **Internet address(es)**

Main address

<https://etendersni.gov.uk/epps>

Buyer's address

<https://etendersni.gov.uk/epps>

### **I.3) Communication**

The procurement documents are available for unrestricted and full direct access, free of charge, at

<https://etendersni.gov.uk/epps>

Additional information can be obtained from the above-mentioned address

Tenders or requests to participate must be submitted electronically via

<https://etendersni.gov.uk/epps>

Tenders or requests to participate must be submitted to the above-mentioned address

### **I.4) Type of the contracting authority**

Body governed by public law

### **I.5) Main activity**

General public services

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## **Section II: Object**

### **II.1) Scope of the procurement**

#### **II.1.1) Title**

ID 3794362 DoF - Provision of Internal Audit Services

Reference number

ID 3794362

#### **II.1.2) Main CPV code**

- 79212000 - Auditing services

#### **II.1.3) Type of contract**

Services

#### **II.1.4) Short description**

The Contract will commence on 5 June 2022. The GIAFIS requires Contractors to provide internal auditors for an agreed number of days to carry out risk-based Internal Audit assignments as agreed within the Internal Audit Strategies and Internal Audit Plans. These days/assignments will cover a range of different systems and areas across the NICS including governance, finance, policy development and implementation, project management, grant funding and ICT systems in various locations throughout Northern Ireland. The Contract will be divided into two lots: • Lot 1 – General Internal Audit Services • Lot 2 – ICT Internal Audit Services

#### **II.1.5) Estimated total value**

Value excluding VAT: £1,000,000

#### **II.1.6) Information about lots**

This contract is divided into lots: Yes

Tenders may be submitted for maximum number of lots

2

### **II.2) Description**

#### **II.2.1) Title**

## Lot 1 – General Internal Audit Services

Lot No

1

### **II.2.2) Additional CPV code(s)**

- 79212200 - Internal audit services
- 79212300 - Statutory audit services
- 79212400 - Fraud audit services
- 79200000 - Accounting, auditing and fiscal services
- 79210000 - Accounting and auditing services
- 79212100 - Financial auditing services
- 79000000 - Business services: law, marketing, consulting, recruitment, printing and security
- 79212110 - Corporate governance rating services

### **II.2.3) Place of performance**

NUTS codes

- UKN - Northern Ireland

### **II.2.4) Description of the procurement**

The Contract will commence on 5 June 2022. The GIAFIS requires Contractors to provide internal auditors for an agreed number of days to carry out risk-based Internal Audit assignments as agreed within the Internal Audit Strategies and Internal Audit Plans. These days/assignments will cover a range of different systems and areas across the NICS including governance, finance, policy development and implementation, project management, grant funding and ICT systems in various locations throughout Northern Ireland. The Contract will be divided into two lots: • Lot 1 – General Internal Audit Services • Lot 2 – ICT Internal Audit Services

### **II.2.5) Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

### **II.2.6) Estimated value**

Value excluding VAT: £800,000

### **II.2.7) Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months

12

This contract is subject to renewal

Yes

Description of renewals

The Contract shall take effect on 5 June 2022 and shall continue until the end of the Contract Period (4 June 2023). There will be two extension periods to the Contract for any period up to and including 12 Months

### **II.2.10) Information about variants**

Variants will be accepted: No

### **II.2.11) Information about options**

Options: Yes

Description of options

The Contract shall take effect on 5 June 2022 and shall continue until the end of the Contract Period (4 June 2023). There will be two extension periods to the Contract for any period up to and including 12 Months

### **II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union funds: No

### **II.2.14) Additional information**

This is a demand driven contract, there is no guarantee of work given.

## **II.2) Description**

### **II.2.1) Title**

## Lot 2 – ICT Internal Audit Services

Lot No

2

### **II.2.2) Additional CPV code(s)**

- 79212200 - Internal audit services
- 79212300 - Statutory audit services
- 79212400 - Fraud audit services
- 79200000 - Accounting, auditing and fiscal services
- 79210000 - Accounting and auditing services
- 79212100 - Financial auditing services
- 79000000 - Business services: law, marketing, consulting, recruitment, printing and security
- 79212110 - Corporate governance rating services

### **II.2.3) Place of performance**

NUTS codes

- UKN - Northern Ireland

### **II.2.4) Description of the procurement**

The Contract will commence on 5 June 2022. The GIAFIS requires Contractors to provide internal auditors for an agreed number of days to carry out risk-based Internal Audit assignments as agreed within the Internal Audit Strategies and Internal Audit Plans. These days/assignments will cover a range of different systems and areas across the NICS including governance, finance, policy development and implementation, project management, grant funding and ICT systems in various locations throughout Northern Ireland. The Contract will be divided into two lots: • Lot 1 – General Internal Audit Services • Lot 2 – ICT Internal Audit Services

### **II.2.5) Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

### **II.2.6) Estimated value**

Value excluding VAT: £200,000

**II.2.7) Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months

12

This contract is subject to renewal

Yes

Description of renewals

The Contract shall take effect on 5 June 2022 and shall continue until the end of the Contract Period (4 June 2023). There will be two extension periods to the Contract for any period up to and including 12 Months

**II.2.10) Information about variants**

Variants will be accepted: No

**II.2.11) Information about options**

Options: Yes

Description of options

The Contract shall take effect on 5 June 2022 and shall continue until the end of the Contract Period (4 June 2023). There will be two extension periods to the Contract for any period up to and including 12 Months

**II.2.13) Information about European Union Funds**

The procurement is related to a project and/or programme financed by European Union funds: No

**II.2.14) Additional information**

This is a demand driven contract, there is no guarantee of work given.

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## **Section III. Legal, economic, financial and technical information**

### **III.1) Conditions for participation**

#### **III.1.2) Economic and financial standing**

List and brief description of selection criteria

as per tender documents

#### **III.1.3) Technical and professional ability**

List and brief description of selection criteria

as per tender documents

### **III.2) Conditions related to the contract**

#### **III.2.1) Information about a particular profession**

Execution of the service is reserved to a particular profession

Reference to the relevant law, regulation or administrative provision

as per tender documents



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## **Section IV. Procedure**

### **IV.1) Description**

#### **IV.1.1) Type of procedure**

Open procedure

#### **IV.1.8) Information about the Government Procurement Agreement (GPA)**

The procurement is covered by the Government Procurement Agreement: Yes

### **IV.2) Administrative information**

#### **IV.2.2) Time limit for receipt of tenders or requests to participate**

Date

16 March 2022

Local time

3:00pm

#### **IV.2.4) Languages in which tenders or requests to participate may be submitted**

English

#### **IV.2.6) Minimum time frame during which the tenderer must maintain the tender**

Tender must be valid until: 14 June 2022

#### **IV.2.7) Conditions for opening of tenders**

Date

16 March 2022

Local time

3:30pm

Information about authorised persons and opening procedure

Only CPD Procurement Staff with access to the project on eTendersNI.

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## **Section VI. Complementary information**

### **VI.1) Information about recurrence**

This is a recurrent procurement: No

### **VI.3) Additional information**

Contract Monitoring. The successful contractor's performance on the contract will be regularly monitored in line with PGN 01/12. Contractors not delivering on contract requirements is a serious matter. It means the public purse is not getting what it is paying for. If a contractor fails to reach satisfactory levels of contract performance they will be given a specified time to improve. If, after the specified time, they still fail to reach satisfactory levels of contract performance, the matter will be escalated to senior management in CPD for further action. If this occurs and their performance still does not improve to satisfactory levels within the specified period, it may be regarded as an act of grave professional misconduct and they may be issued with a Certificate of Unsatisfactory Performance and the contract may be terminated. The issue of a Certificate of Unsatisfactory Performance will result in the contractor being excluded from all procurement competitions being undertaken by Centres of Procurement Expertise on behalf of bodies covered by the Northern Ireland Procurement Policy for a period of twelve months from the date of issue of the certificate." . . "The Authority expressly reserves the rights:. . (I). not to award any contract as a result of the procurement process commenced by publication of this notice;. (II). to make whatever changes it may see fit to the content and structure of the tendering Competition;. (III). to award (a) contract(s) in respect of any part(s) of the [services] covered by this notice; and. (IV). to award contract(s) in stages.. . and in no circumstances will the Authority be liable for any costs incurred by candidates.. .

### **VI.4) Procedures for review**

#### **VI.4.1) Review body**

The UK does not have any such bodies with responsibility for appeal/mediation procedures. Instead; any challenges are dealt with by the High Court, Commercial Division, to which proceedings may be issued regarding alleged breaches of the PCR 2015 as amended.

Belfast

Country

United Kingdom

### **VI.4.3) Review procedure**

Precise information on deadline(s) for review procedures

CPD will comply with the Public Contracts Regulations 2015 (as amended) and, where appropriate, will incorporate a standstill period (i.e. a minimum of 10 calendar days) at the point information on the award of contract is communicated to tenderers. That notification will provide full information on the award decision. This provides time for the unsuccessful tenderers to challenge the award decision before the contract is entered into.