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Award

## **Client Advice Management Solution**

DERBY HOMES LTD

F15: Voluntary ex ante transparency notice

Notice identifier: 2023/S 000-002937

Procurement identifier (OCID): ocds-h6vhtk-03a17b

Published 31 January 2023, 2:05pm

## **Section I: Contracting authority/entity**

### **I.1) Name and addresses**

DERBY HOMES LTD

839 London Road

Derby

DE24 8UZ

### **Contact**

Andrew Grover

### **Email**

[DH-Procurement@derbyhomes.org](mailto:DH-Procurement@derbyhomes.org)

### **Telephone**

+44 1332888777

**Country**

United Kingdom

**Region code**

UKF11 - Derby

**Companies House**

04380984

**Internet address(es)**

Main address

[www.derbyhomes.org](http://www.derbyhomes.org)

**I.4) Type of the contracting authority**

Body governed by public law

**I.5) Main activity**

Housing and community amenities

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## **Section II: Object**

### **II.1) Scope of the procurement**

#### **II.1.1) Title**

Client Advice Management Solution

Reference number

E2023-001

#### **II.1.2) Main CPV code**

- 48441000 - Financial analysis software package

#### **II.1.3) Type of contract**

Supplies

#### **II.1.4) Short description**

Derby Homes are seeking to implement a new Client Advice Management solution. High Level Business Requirements for the Client Advice Management solution are for a specialised advice management system for vulnerable clients, with a financial assessment tool in line with the Financial Conduct Authority (FCA) requirements for understanding clients' needs and circumstances. It must be capable of producing a Financial Statement which meets the requirements of the FCA with an inbuilt electronic document records management system that marries electronic records to clients' current advice and applies relevant retention periods, to include functionality for managing and setting controls around conflicts of interest between clients as per FCA rules.

We have only been able to identify one solution that meets these essential requirements. We are therefore seeking to use a VEAT notice to confirm if there is only one option or if a competitive procurement process will be needed in the future.

#### **II.1.6) Information about lots**

This contract is divided into lots: No

#### **II.1.7) Total value of the procurement (excluding VAT)**

Value excluding VAT: £129,742

## **II.2) Description**

### **II.2.3) Place of performance**

NUTS codes

- UKF11 - Derby

### **II.2.4) Description of the procurement**

A Client Advice Management solution - a specialised advice management system for vulnerable clients, with a financial assessment tool in line with the Financial Conduct Authority (FCA) requirements for understanding clients' needs and circumstances. It must be capable of producing a Financial Statement which meets the requirements of the FCA with an inbuilt electronic document records management system that marries electronic records to clients' current advice and applies relevant retention periods, to include functionality for managing and setting controls around conflicts of interest between clients as per FCA rules.

### **II.2.5) Award criteria**

Price

### **II.2.11) Information about options**

Options: Yes

Description of options

36 month initial contract with 3 x 24 month extension options to review if there are any suitable alternative providers in the future.

### **II.2.14) Additional information**

We intend to use the software for three years, reviewing for an alternative providers and need for a competitive procedure after two years, then take up to 3 24 month extension options with further review in the first year of each extension.

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## **Section IV. Procedure**

### **IV.1) Description**

#### **IV.1.1) Type of procedure**

Award of a contract without prior publication of a call for competition in the cases listed below

- The procurement falls outside the scope of application of the regulations

Explanation:

Only one possible provider to meet all requirements has been identified. Derby Homes Ltd posts this VEAT notice to confirm no other options exist following our due diligence or if a tender is required in the future, to be transparent about action taken and why. The contract term will allow a competitive process in the future if alternatives exist which we have otherwise been unable to identify.

#### **IV.1.8) Information about the Government Procurement Agreement (GPA)**

The procurement is covered by the Government Procurement Agreement: No

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## **Section V. Award of contract/concession**

A contract/lot is awarded: Yes

### **V.2) Award of contract/concession**

#### **V.2.1) Date of conclusion of the contract**

31 January 2023

#### **V.2.2) Information about tenders**

The contract has been awarded to a group of economic operators: No

#### **V.2.3) Name and address of the contractor/concessionaire**

Advice UK

101e Universal House 88-94 Wentworth Street

London

E1 7SA

Country

United Kingdom

NUTS code

- UKI - London

Companies House

02023982

The contractor/concessionaire is an SME

Yes

#### **V.2.4) Information on value of contract/lot/concession (excluding VAT)**

Initial estimated total value of the contract/lot/concession: £129,742

Total value of the contract/lot/concession: £129,742

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## **Section VI. Complementary information**

### **VI.3) Additional information**

TBC

### **VI.4) Procedures for review**

#### **VI.4.1) Review body**

Derby Homes Limited

839 London Road

Derby

DE24 8UZ

Email

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