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Tender

Risk and Assurance Management System

UK Research and Innovation

F02: Contract notice

Notice identifier: 2021/S 000-000979

Procurement identifier (OCID): ocds-h6vhtk-028b29

Published 18 January 2021, 3:10pm

The closing date and time has been changed to:

31 March 2021 - no time specified

See the [change notice](#).

Section I: Contracting authority

I.1) Name and addresses

UK Research and Innovation

Polaris House, North Star Avenue

SWINDON

SN21FL

Email

ddatprocurement@uksbs.co.uk

Country

United Kingdom

NUTS code

UKK - SOUTH WEST (ENGLAND)

Internet address(es)

Main address

<https://www.ukri.org/>

I.3) Communication

The procurement documents are available for unrestricted and full direct access, free of charge, at

<https://www.gov.uk/contracts-finder>

Additional information can be obtained from the above-mentioned address

Tenders or requests to participate must be submitted electronically via

www.delta.com

I.4) Type of the contracting authority

Body governed by public law

I.5) Main activity

General public services

Section II: Object

II.1) Scope of the procurement

II.1.1) Title

Risk and Assurance Management System

Reference number

DDaT20078

II.1.2) Main CPV code

- 48900000 - Miscellaneous software package and computer systems

II.1.3) Type of contract

Supplies

II.1.4) Short description

UK Research and Innovation (UKRI) are seeking to procure an integrated Risk and Assurance system (the system) that provides a long-term solution that is adaptable to the complexity of UKRI now and in the future.

The existing risk system, EasyRisk system was implemented as an interim solution when UKRI was formed.

Aims and Objectives

The primary over-arching objective of the project is to implement a suitable long-term solution, through a flexible, integrated and easy-to-use system that can support the diverse risk management and assurance needs of UKRI. The solution adopted will be able to adapt to change in organisational objectives and structure. It will provide improved capability to capture, update, track and present relevant information to stakeholders, enabling effective reporting and informed decision making, at all levels.

The primary objectives of the project, include:

- Improved quality of risk & assurance reporting
- Higher efficiency and effectiveness of risk management by the business

- Increased linkage and integration between Risk, Assurance, and Controls
- Increased linkage to objectives and corporate plan
- New capability to produce KPIs and KRIs

All submissions will be assessed in accordance with the Public Contracts Regulations 2015, for procurement values that exceed Regulation 5 (Threshold amounts) Responses must be received by the date in the tender documentation; responses received outside of the deadline or not sent via the Delta eSourcing portal will not be accepted or considered further for this opportunity.

As a user of the Delta eSourcing Portal you will have access to the Delta messaging service which facilitates all messages sent to you and from you in relation to any specific tender event. Please note it is your responsibility to access these messages on a regular basis to ensure you have sight of all relevant information applicable to this opportunity.

The contracting authority expressly reserves the right:

i. not to award any contract as a result of the procurement process commenced by publication of this notice; and ii. to make whatever changes it may see fit to the content and structure of the procurement; and in no circumstances will the contracting authority be liable for any costs incurred by any supplier. If the contracting authority decides to enter into a contract with the successful supplier, this does not mean that there is any guarantee of subsequent contracts being awarded. Any expenditure, work or effort undertaken prior to contract award is accordingly a matter solely for the commercial judgement of bidders.

How to Apply

UK Shared Business Services Ltd (UK SBS) will be using the Delta eSourcing Portal for this procurement. To register on the Delta eSourcing portal please use the link <https://uksbs.delta-esourcing.com> and follow the instructions to register.

If you are already registered on the Delta eSourcing Portal and wish to participate in this procurement, please use the link: <https://uksbs.delta-esourcing.com> and the follow the instructions to 'Login'

Once you are logged into the system you will be able to link yourself into this procurement using the Access Code: 5NN38554N5

II.1.5) Estimated total value

Value excluding VAT: £430,000

II.1.6) Information about lots

This contract is divided into lots: No

II.2) Description

II.2.3) Place of performance

NUTS codes

- UKK - SOUTH WEST (ENGLAND)

II.2.4) Description of the procurement

UK Research and Innovation (UKRI) are seeking to procure an integrated Risk and Assurance system (the system) that provides a long-term solution that is adaptable to the complexity of UKRI now and in the future.

The existing risk system, EasyRisk system was implemented as an interim solution when UKRI was formed.

The primary over-arching objective of the project is to implement a suitable long-term solution, through a flexible, integrated and easy-to-use system that can support the diverse risk management and assurance needs of UKRI. The solution adopted will be able to adapt to change in organisational objectives and structure. It will provide improved capability to capture, update, track and present relevant information to stakeholders, enabling effective reporting and informed decision making, at all levels.

The primary objectives of the project, include:

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Prior to the formation of UKRI there was a mixed approach to capturing risk information ranging from Microsoft Excel spreadsheets to various risk tools. When the UKRI was formed it was necessary to have a single source of risk information, hence a pragmatic decision was taken by the Head of Risk to use the existing EasyRisk system as an interim solution, which

had been in use for the UKRI project, RCUK and MRC.

As part of the approved UKRI Risk Management Strategy it was recognised that the system would need to be reviewed and a decision taken for a long-term solution. In response to the above a "UKRI Risk Management and Assurance System Project" was launched in early January 2020.

Procurement through an open competition re-tender is required for a fit-for-purpose business system-application, by exploiting the latest market technology and capabilities.

The system will be used across UKRI. This will include the 9 councils and the corporate areas.

The Enterprise Risk Management System (ERM) will also support portfolio, programmes and projects.

The system will support the embedding of the Risk Management Framework and the Assurance Framework. It will be used to manage and support the captured Risk, Assurance, IGRAf (Integrated Governance, Risk & Assurance Framework) and Audit information. Ultimately supporting UKRI by providing information which will help support its decision making.

A fully integrated risk and assurance is the most desirable option, this will form part of Level 1 and 2 as stated below in the requirements documents. To demonstrate our requirements further we have separated them into must have, should have and could have using MoSCoW model. This will allow tender bids to demonstrate their ability to provide our requirements against each criteria.

UKRI needs to be able to integrate information from the system with information from other business systems used within UKRI now or in the future.

II.2.5) Award criteria

Quality criterion - Name: Must Haves / Weighting: 40%

Quality criterion - Name: Should Haves / Weighting: 20%

Quality criterion - Name: Demonstration and Sandbox / Weighting: 20%

Price - Weighting: 20%

II.2.6) Estimated value

Value excluding VAT: £430,000

II.2.7) Duration of the contract, framework agreement or dynamic purchasing system

Start date

2 August 2021

End date

1 August 2026

This contract is subject to renewal

No

II.2.10) Information about variants

Variants will be accepted: No

II.2.11) Information about options

Options: No

Section III. Legal, economic, financial and technical information

III.1) Conditions for participation

III.1.2) Economic and financial standing

Selection criteria as stated in the procurement documents

III.1.3) Technical and professional ability

Selection criteria as stated in the procurement documents

Section IV. Procedure

IV.1) Description

IV.1.1) Type of procedure

Open procedure

IV.1.8) Information about the Government Procurement Agreement (GPA)

The procurement is covered by the Government Procurement Agreement: Yes

IV.2) Administrative information

IV.2.2) Time limit for receipt of tenders or requests to participate

Originally published as:

Date

23 February 2021

Local time

2:00pm

Changed to:

Date

31 March 2021

See the [change notice](#).

IV.2.4) Languages in which tenders or requests to participate may be submitted

English

IV.2.7) Conditions for opening of tenders

Date

24 February 2021

Local time

2:00pm

Section VI. Complementary information

VI.1) Information about recurrence

This is a recurrent procurement: No

VI.4) Procedures for review

VI.4.1) Review body

UK Shared Business Services

Polaris House, North Star Avenue

swindon

SN2 1FL

Country

United Kingdom